

# A CHARACTERIZATION OF QUASIPOSITIVE TWO-BRIDGE KNOTS

BURAK OZBAGCI

(with an appendix by STEPAN OREVKOV)

ABSTRACT. We prove a simple necessary and sufficient condition for a two-bridge knot  $K(p, q)$  to be quasipositive, based on the continued fraction expansion of  $p/q$ . As an application, coupled with some classification results in contact and symplectic topology, we give a new proof of the fact that smoothly slice two-bridge knots are non-quasipositive. Another proof of this fact using methods within the scope of knot theory is presented in the Appendix.

## 1. INTRODUCTION

Notions of quasipositivity and strong quasipositivity for links were introduced and explored by Rudolph in a series of papers (see, for example, [28, 29, 30, 31, 32, 33]). Let  $\sigma_1, \dots, \sigma_{n-1}$  denote the standard generators of the braid group  $B_n$ , and let  $\sigma_{i,j} = (\sigma_i \dots \sigma_{j-2})(\sigma_{j-1})(\sigma_i \dots \sigma_{j-2})^{-1}$ . Strongly quasipositive links are the links which can be realized as the closure of braids of the form  $\prod_{k=1}^m \sigma_{i_k, j_k}$ . The weaker notion of quasipositive link is any link which can be realized as the closure of a braid of the form  $\prod_{k=1}^m w_k \sigma_{i_k} w_k^{-1}$ , where  $w_k \in B_n$  for all  $1 \leq k \leq m$ . An oriented link is called positive if it has a positive diagram, i.e., a diagram in which all crossings are positive.

Throughout this paper, we assume that  $p > q$  are relatively prime positive integers. The oriented lens space  $L(p, q)$  is defined by  $-p/q$  surgery on the unknot in  $S^3$  and it is well-known that  $L(p, q)$  is the double cover of  $S^3$  branched along the two-bridge link  $K(p, q)$ , which we depicted in Figure 1. When  $p$  is odd,  $K(p, q)$  is a knot, and otherwise it has two components.

The *negative* continued fraction of  $p/q$  is defined by

$$\frac{p}{q} = [a_1, a_2, \dots, a_k]^- = a_1 - \frac{1}{a_2 - \frac{1}{\ddots - \frac{1}{a_k}}}, \quad a_i \geq 2 \text{ for all } 1 \leq i \leq k,$$

where the coefficients  $a_1, a_2, \dots, a_k$  are uniquely determined by  $p/q$ . We say that the negative continued fraction of  $p/q$  is even if  $a_i$  is even for all  $1 \leq i \leq k$ .

**Theorem 1.1.** *If the two-bridge link  $K(p, q)$  is quasipositive then the negative continued fraction of  $p/q$  is even.*

If the negative continued fraction of  $p/q$  is even, then  $pq$  must be even. Corollary 1.2 immediately follows from this simple observation coupled with Theorem 1.1.

**Corollary 1.2.** *If  $pq$  is odd, then the two-bridge knot  $K(p, q)$  is non-quasipositive.*

Conversely, we can express Tanaka's quasipositivity criterion [34, Proposition 3.1] for two-bridge knots in terms of negative continued fractions as follows:

**Proposition 1.3** (Tanaka [34]). *The two-bridge knot  $K(p, q)$  is strongly quasipositive, provided that the negative continued fraction of  $p/q$  is even.*

We would like to point out that Proposition 1.3 cannot possibly hold for arbitrary two-bridge links. For example,  $16/3 = [6, 2, 2]^-$  and  $16/13 = [2, 2, 2, 2, 4]^-$ , but the two-bridge link  $K(16, 3)$  and its mirror  $K(16, 13)$  cannot both be quasipositive since Hayden [15, Corollary 1.5] proved that if a link and its mirror are both quasipositive, then the link is an unlink.

Combining Theorem 1.1 and Proposition 1.3, we have the following characterization of quasipositive two-bridge knots.

**Corollary 1.4.** *The two-bridge knot  $K(p, q)$  is quasipositive if and only if the negative continued fraction of  $p/q$  is even.*

**Remark 1.5.** It is clear that strong quasipositivity implies quasipositivity by definition. Conversely, Boileau and Rudolph [4, Proposition 3.6 and Corollary 3.7] proved for a family of alternating arborescent links, including 2-bridge links, that quasipositivity implies strong quasipositivity. On the other hand, by the work of Rudolph [32] and Nakamura [24, Lemma 4.1], positive diagrams represent strongly quasipositive links. Moreover, strongly quasipositive two-bridge links are positive, since any two-bridge link can be obtained as the boundary of plumbings of annuli and strong quasipositivity behaves natural with respect to the plumbing operation [31]. The upshot is that, positivity, quasipositivity and strong quasipositivity are in fact equivalent for two-bridge links.

The proof of Theorem 1.1 is based on the work of Plamenevskaya [26], coupled with the following result on contact topology.

**Proposition 1.6.** *The lens space  $L(p, q)$  admits a tight contact structure with trivial first Chern class if and only if the negative continued fraction of  $p/q$  is even.*

Recall that a knot in  $S^3$  is called *smoothly slice* if it bounds a smooth properly embedded disk in  $B^4$ . Rudolph [30, Proposition 2] showed that the only smoothly

slice strongly quasipositive knot is the unknot, as a corollary to the celebrated work of Kronheimer and Mrowka [17]. As we pointed out in Remark 1.5, quasipositivity and strong quasipositivity are equivalent for a two-bridge link. Therefore, we conclude that smoothly slice two-bridge knots are non-quasipositive. We will provide a new proof of this fact as an application of Corollary 1.4 coupled with the following result on symplectic topology.

**Proposition 1.7.** *Let  $(Y, \xi)$  be the contact double cover of the standard contact 3-sphere  $(S^3, \xi_{st})$  branched along the knot  $K$  which we assume to be transverse to  $\xi_{st}$ . If  $K$  is smoothly slice and quasipositive, then  $(Y, \xi)$  admits a rational homology ball Stein filling.*

After we finished a first draft of this paper, it came to our attention that in [25, Remark 2], Orevkov observed that quasipositivity implies (by results of [25] combined with [7]) strong quasipositivity for two-bridge links, and for a larger class of links including all alternating Montesinos links—which does not directly follow from the arguments in [4, Proposition 3.6 and Corollary 3.7]. Since we convinced him that his result would be of interest to knot enthusiasts, he kindly agreed to write the details of his [25, Remark 2] in the Appendix (especially in the two-bridge case where [21] can be used instead of [7]), which in turn, gives yet another proof of the fact that smoothly slice two-bridge knots are non-quasipositive.

## 2. APPLICATIONS OF CONTACT AND SYMPLECTIC TOPOLOGY

We begin with the proof of Proposition 1.6.

*Proof of Proposition 1.6.* Suppose that  $p/q = [a_1, a_2, \dots, a_k]^-$ , where  $a_i \geq 2$  is even for all  $1 \leq i \leq k$ . Note that  $L(p, q)$  can be obtained by surgery on a chain of unknots with framings  $-a_1, -a_2, \dots, -a_k$ , respectively. Since  $a_i \geq 2$  is even for all  $1 \leq i \leq k$ , we can Legendrian realize each unknot in the chain, with respect to the standard contact structure, so that the rotation number of each Legendrian unknot is zero. It follows by [14, Proposition 2.3] that the first Chern class of the Stein fillable (and hence tight) contact structure on  $L(p, q)$  obtained by Legendrian surgery on the resulting Legendrian link is zero.

To prove the only if direction, suppose that  $p/q = [a_1, a_2, \dots, a_k]^-$ , where  $a_i \geq 2$  for all  $1 \leq i \leq k$  and  $a_j$  is odd for some  $1 \leq j \leq k$ . Let  $\xi$  be the Stein fillable contact structure on  $L(p, q)$  obtained by Legendrian surgery along an arbitrary Legendrian realization  $\mathcal{L}$  of the chain of unknots with smooth framings  $-a_1, -a_2, \dots, -a_k$ , respectively. Let  $\bar{\mathcal{L}}$  be the Legendrian link obtained from  $\mathcal{L}$  by taking the mirror image of each component and let  $\bar{\xi}$  be the Stein fillable contact structure on  $L(p, q)$  obtained by Legendrian surgery along  $\bar{\mathcal{L}}$ . It follows that rotation number of each

component of  $\bar{\mathcal{L}}$  is the negative of the rotation number of the corresponding component of  $\mathcal{L}$  and hence  $\bar{\xi}$  is obtained from  $\xi$  by reversing the orientation of the contact planes. By Honda's classification [16, Theorem 2.1] of tight contact structures on  $L(p, q)$ , the contact structures  $\xi$  and  $\bar{\xi}$  are not isotopic because of the assumption that  $a_j$  is odd for some  $1 \leq j \leq k$ . Note that non-isotopic tight contact structures on  $L(p, q)$  are non-homotopic [16, Proposition 4.24]. This implies that  $c_1(\xi) \neq 0$  since according to Gompf [14, Corollary 4.10], an oriented plane field in any closed oriented 3-manifold is homotopic to itself with reversed orientation if and only if it has trivial first Chern class.  $\square$

We now give a proof of Theorem 1.1, based on Proposition 1.6.

*Proof of Theorem 1.1.* The double cover of  $S^3$  branched along  $K(p, q)$  is  $L(p, q)$ . We can make  $K(p, q)$  transverse to the standard contact structure  $\xi_{st}$  by isotopy. Let  $(L(p, q), \xi)$  be the contact double cover of  $(S^3, \xi_{st})$  branched along the transverse link  $K(p, q)$ . Suppose that  $K(p, q)$  is quasipositive. By the work of Plamenevskaya [26, Proposition 1.4 and Lemma 5.1], we conclude that  $\xi$  is Stein fillable and moreover  $c_1(\xi) = 0$ . It follows by Proposition 1.6 that the negative continued fraction of  $p/q$  must be even.  $\square$

The proof of Proposition 1.3 is essentially obtained by rephrasing Proposition 3.1 in Tanaka's paper [34], where he uses regular continued fractions to describe a sufficient condition for a two-bridge knot to be strongly quasipositive.

*Proof of Proposition 1.3.* A regular continued fraction of  $p/q$  is defined by

$$\frac{p}{q} = [c_1, c_2, \dots, c_{2m+1}] = c_1 + \frac{1}{c_2 + \frac{1}{\dots + \frac{1}{c_{2m+1}}}}, \quad c_i > 0 \text{ for all } 1 \leq i \leq 2m+1.$$

Note that there is always a regular continued fraction of  $p/q$  of odd length, as above. To see this, suppose that  $p/q$  has a regular continued fraction of even length, i.e.  $\frac{p}{q} = [c_1, c_2, \dots, c_{2m}]$  with  $c_i > 0$ . If  $c_{2m} = 1$ , then

$$\frac{p}{q} = [c_1, c_2, \dots, c_{2m}] = [c_1, c_2, \dots, c_{2m-2}, 1 + c_{2m-1}]$$

and otherwise,

$$\frac{p}{q} = [c_1, c_2, \dots, c_{2m}] = [c_1, c_2, \dots, c_{2m-1}, -1 + c_{2m}, 1].$$

Using an odd length regular continued fraction  $\frac{p}{q} = [c_1, c_2, \dots, c_{2m+1}]$ , we define the two bridge link  $K(p, q)$  as depicted in Figure 1, where the integer inside each box denotes the signed number of half twists to be inserted.

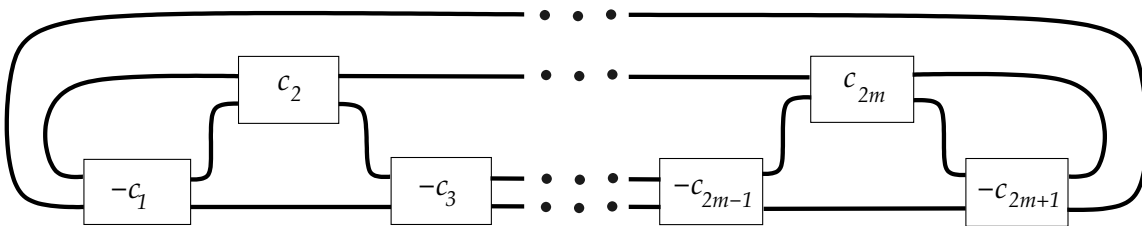


FIGURE 1. Two-bridge link  $K(p, q)$ , where  $p/q = [c_1, c_2, \dots, c_{2m+1}]$ .

In [34], Tanaka uses the notation  $\mathcal{C}(c_1, c_2, \dots, c_{2m+1})$ , with each  $c_i > 0$ , to describe a two-bridge knot. By comparing [34, Figure 6], for example, with our Figure 1, we see that our definition of  $K(p, q)$  is the *mirror image* of the one described by Tanaka, but agrees with the one described by Lisca [18].

According to [34, Proposition 3.1], the two-bridge knot  $\mathcal{C}(c_1, c_2, \dots, c_{2m+1})$ , which is the mirror image of our  $K(p, q)$  defined above, is strongly quasipositive as long as  $c_i$  is even for each even index  $2 \leq i \leq 2m$ . To express this condition in terms of negative continued fractions, we just describe how to convert a given regular continued fraction of any  $p/q$  to the negative continued fraction of  $p/(p-q)$  and vice versa in Lemma 2.1. This finishes the proof of Theorem 1.1, since by Lemma 2.1, we can easily deduce that the negative continued fraction of  $p/(p-q)$  is even if and only if  $p/q$  has a regular continued fraction of odd length where each even indexed coefficient is even.  $\square$

**Lemma 2.1.** *Suppose that  $p/q = [c_1, c_2, \dots, c_{2m+1}]$  with  $c_i > 0$  for all  $1 \leq i \leq 2m + 1$ . Then*

$$\frac{p}{q} = [1 + c_1, \underbrace{2, \dots, 2}_{c_2-1}, 2 + c_3, \underbrace{2, \dots, 2}_{c_4-1}, \dots, 2 + c_{2m-1}, \underbrace{2, \dots, 2}_{c_{2m}-1}, 1 + c_{2m+1}]^-$$

and

$$\frac{p}{p-q} = [\underbrace{2, \dots, 2}_{c_1-1}, 2 + c_2, \underbrace{2, \dots, 2}_{c_3-1}, 2 + c_4, \dots, \underbrace{2, \dots, 2}_{c_{2m-1}-1}, 2 + c_{2m}, \underbrace{2, \dots, 2}_{c_{2m+1}-1}]^-$$

*Proof.* The negative continued fraction of  $p/q$  can be obtained from a given regular continued fraction of  $p/q$  by a straightforward induction argument, whereas

the negative continued fraction of  $p/(p - q)$  is obtained from that of  $p/q$  by the Riemenschneiders point diagram method [27].  $\square$

Using Lemma 2.1, we can rephrase Corollary 1.4 as follows:

**Corollary 2.2.** *The two-bridge knot  $K(p, q)$  is quasipositive if and only if  $p/(p - q)$  has a regular continued fraction of odd length where each even indexed coefficient is even.*

Finally, we turn our attention to Proposition 1.7.

*Proof of Proposition 1.7.* Rudolph [28] showed that quasipositive links arise as the transverse intersection of  $S^3 \subset \mathbb{C}^2$ , with a complex curve. Therefore, the quasipositivity assumption implies that  $K$  bounds a complex curve in  $B^4$ . Since  $K$  is assumed to be smoothly slice as well, there is a smooth disk in  $B^4$  with boundary  $K$ . But by the "local Thom conjecture" [17, Corollary 1.3], the complex curve minimizes genus, so the slice disk can be assumed to be complex. Hence, the analytic double cover of  $B^4$  equipped with its standard complex structure, branched along this complex slice disk, is a rational homology ball Stein filling of  $(Y, \xi)$ . It is well-known that the double cover is a rational homology ball and it is in fact Stein by [19, Theorem 3].  $\square$

### 3. SMOOTHLY SLICE TWO-BRIDGE KNOTS ARE NON-QUASIPOSITIVE

As we pointed out in the Introduction, the fact that smoothly slice two-bridge knots are non-quasipositive follows by combining [30, Proposition 2] with [4, Proposition 3.6 and Corollary 3.7]. Here we provide an alternate proof based on contact and symplectic topology.

**Corollary 3.1.** *Smoothly slice two-bridge knots are non-quasipositive.*

*Proof.* Lisca [18] showed that  $L(p, q)$  bounds a rational homology ball if and only if  $p/q$  belongs to a certain subset  $\mathcal{R}$  of the set of positive rational numbers. Lisca also showed that, for odd  $p$ , any two-bridge knot  $K(p, q)$  is smoothly slice if and only if  $p/q \in \mathcal{R}$ . By definition, the set  $\mathcal{R}$  contains a subset, denoted by  $\mathcal{O}$  here, which consists of  $p/q > 0$  such that  $p = m^2$  (for odd  $m$ ), and  $q = mh - 1$  where  $0 < h < m$  and  $(m, h) = 1$ .

Suppose that  $p/q \in \mathcal{R} \setminus \mathcal{O}$  and  $K(p, q)$  is a smoothly slice, quasipositive two-bridge knot. We can isotope  $K(p, q)$  to be transverse to the standard contact structure  $\xi_{st}$  in  $S^3$ . Let  $(L(p, q), \xi)$  be the double cover of  $(S^3, \xi_{st})$  branched along the transverse knot  $K$ . According to Proposition 1.7,  $(L(p, q), \xi)$  admits a rational homology ball Stein filling, which in turn, implies that  $\xi$  is isomorphic to the canonical contact structure  $\xi_{can}$ , because no virtually overtwisted lens space has a rational homology ball symplectic filling by the work of Golla and Starkston [13]. (See

also [10, Lemma 1.5], [11, Proposition 11]). This gives a contradiction to the fact that  $(L(p, q), \xi_{can})$  admits a rational homology ball symplectic filling if and only if  $p/q \in \mathcal{O}$ , as shown by Lisca [18, Corollary 1.2(c)].

Now suppose that  $p/q \in \mathcal{O}$ , i.e.  $p = m^2$ , for odd  $m > 1$  and  $q = mh - 1$  where  $0 < h < m$  and  $(m, h) = 1$ . If  $h$  is even, then  $q = mh - 1$  is odd and  $K(p, q)$  is non-quasipositive by Corollary 1.4. On the other hand, if  $h$  is odd, then  $q = mh - 1$  is even but  $q' = m(m - h) - 1$  is odd and  $qq' \equiv 1 \pmod{m^2}$ . Since  $K(p, q)$  is isotopic to  $K(p, q')$  [5, Chapter 12], we conclude that  $K(p, q')$  and hence  $K(p, q)$  is non-quasipositive, again by Corollary 1.4.  $\square$

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#### 4. APPENDIX BY STEPAN OREVKOV

The Seifert graph of a connected link diagram  $D$  is the graph  $G_D$  whose vertices correspond to Seifert circles and the edges correspond to the crossings. Each edge is equipped with the sign of the corresponding crossing. We say that a diagram  $D$  is reduced if  $G_D$  does not have any edge whose removal disconnects  $G_D$ . Let  $w(D)$  denote the writhe of  $D$ , which is the sum of the signs of all crossings of  $D$ .

Suppose that  $D$  is an alternating diagram of a link  $L$ . Let  $b = b(L)$  denote the braid index of  $L$  and  $s = s(D)$  denote the number of Seifert circles of  $D$ . We define  $d^\pm = d^\pm(D)$  as the number of edges of  $\pm$  sign in a spanning tree of  $G_D$ .

Suppose that  $\beta$  is a braid with  $b$  strands realizing  $L$ . Due to DynnikovPrasolov Theorem [9],  $w(\beta)$  does not depend on the choice of  $b$ -strand  $\beta$  realizing  $L$ , which allows one to define the numbers  $\mathbf{r}^\pm = \mathbf{r}^\pm(D)$  from the system of equations

$$\mathbf{r}^+ + \mathbf{r}^- = s - b \quad \text{and} \quad \mathbf{r}^+ - \mathbf{r}^- = w(D) - w(\beta).$$

By the work of Rudolph [32] and Nakamura [24, Lemma 4.1], positive diagrams represent strongly quasipositive links. Conversely, Baader [2] showed that homogeneous strongly quasipositive knots are positive. Note that the class of homogeneous links, introduced by Cromwell [6], includes all alternating links. Moreover, alternating strongly quasipositive links have positive alternating diagrams by Boileau, Boyer and Gordon [3, Corollary 7.3]. Therefore, the following question appears naturally (see [2], for example):

**Question 4.1.** *Is it true that alternating quasipositive links have positive diagrams?*

A partial answer to this question was provided as follows:



**Theorem 4.2.** ([25, Theorem 4]). *If  $D$  is a reduced alternating diagram of a quasipositive link  $L$ , which satisfies the inequality*

$$2\mathbf{r}^-(D) \leq d^-(D) \quad (4.1)$$

*then  $D$  is positive, and hence  $L$  is strongly quasipositive.*

**Proposition 4.3.** ([25, Remark 2]). *The inequality (4.1) is satisfied by a standard alternating diagram of any two-bridge link or any alternating Montesinos link.*

**Remark 4.4.** As pointed out in [25, Remark 2], inequality (4.1) is actually proven in [7] for the diagrams from [7, Thm. 4.10, 4.12, 4.14] (in particular, for those in Proposition 4.3) even though it is not formulated in [7] explicitly. Since it is not so easy to recognize the proof of this fact without carefully reading the whole paper [7], one of our goals here is to help the reader to extract this proof from [7].

**Remark 4.5.** Note that by Proposition 4.3, if a two-bridge link or an alternating Montesinos link is quasipositive, then it is positive.

The statement in Proposition 4.6 was claimed in [25, Remark 2] and as mentioned there, it follows from [7]. For rational links, however, it can also be easily derived from Murasugi's work [21, Section 3].

**Proposition 4.6.** *Every oriented two-bridge (aka rational) link admits an alternating diagram  $D$  satisfying the inequalities  $2\mathbf{r}^-(D) \leq d^-(D)$  and  $2\mathbf{r}^+(D) \leq d^+(D)$ .*

*Proof.* For rational links we follow the orientation convention used in Murasugi's book [22]. Let  $L$  be a rational oriented link of type  $(p, q)$ . For the mirror image  $D^*$  of  $D$ , we have  $d^\pm(D^*) = d^\mp(D)$  and  $\mathbf{r}^\pm(D^*) = \mathbf{r}^\mp(D)$ . Therefore, without loss of generality we may assume that  $q$  is odd and  $0 < q < p$ . Using the notation introduced in [21, Section 3], let

$$\frac{p}{p-q} = [2n_{1,1}, \dots, 2n_{1,k_1}, -2n_{2,1}, \dots, -2n_{2,n_2}, \dots, (-1)^{t-1}2n_{t,1}, \dots, (-1)^{t-1}2n_{t,k_t}]^-,$$

where we assume  $n_{i,j} > 0$ .

Let  $b$  be the braid index of  $L$  and  $e = w(\beta)$  be the exponent sum of a  $b$ -braid  $\beta$  representing  $L$ . By [21, Prop. 4.2 and Thm. 4.3] we have

$$b = t + 1 + \sum_{i=1}^t \sum_{j=1}^{k_i} (n_{i,j} - 1), \quad e = \frac{1 - (-1)^t}{2} + \sum_{i=1}^t (-1)^{i-1} \sum_{j=1}^{k_i} n_{i,j}. \quad (4.2)$$

Using the standard properties of rational links and continued fractions, one easily checks that  $L$  admits an alternating diagram  $D$  shown in Figure 1 where  $T_i$  are the



tangles defined by the braids

$$T_i = \begin{cases} \sigma_1^{1-2n_{i,1}} \left( \prod_{j=2}^{k_i} \sigma_2 \sigma_1^{2-2n_{i,j}} \right) \sigma_1^{-1}, & i \text{ is odd,} \\ \sigma_2^{2n_{i,1}-1} \left( \prod_{j=2}^{k_i} \sigma_1^{-1} \sigma_2^{2n_{i,j}-2} \right) \sigma_2, & i \text{ is even,} \end{cases}$$

as depicted in Figure 2 for odd  $i$ . Note that, for odd (resp. even)  $i$ , all crossings of  $T_i$  are positive (resp. negative).

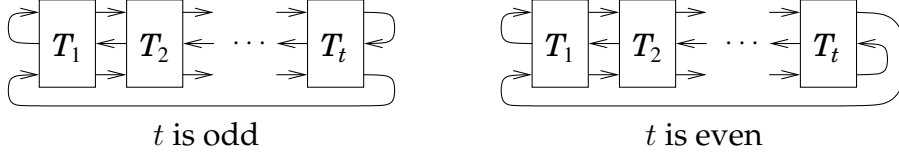


FIGURE 2. Alternating diagram  $D$  for the rational link  $L$ .

Let  $w = w(D)$  and  $s = s(D)$  be the writhe and the number of Seifert circles of  $D$ . Each tangle  $T_i$  contributes  $(-1)^{i-1}w_i$  to  $w$ , where  $w_i = 1 + \sum_j (2n_{i,j} - 1)$ . If  $i$  is odd (resp. even), then  $T_i$  contributes  $w_i - k_i$  to  $d^+$  (resp. to  $d^-$ ). We have  $s - (d^+ + d^-) = 1$  (the Euler characteristic of a spanning tree).

Hence

$$s = t + 1 + \sum_{i=1}^t \sum_{j=1}^{k_i} 2(n_{i,j} - 1), \quad w = \frac{1 - (-1)^t}{2} + \sum_{i=1}^t (-1)^{i-1} \sum_{j=1}^{k_i} (2n_{i,j} - 1), \quad (4.3)$$

$$d^+ = \lceil t/2 \rceil + \sum_{i \text{ odd}} \sum_{j=1}^{k_i} 2(n_{i,j} - 1), \quad d^- = \lfloor t/2 \rfloor + \sum_{i \text{ even}} \sum_{j=1}^{k_i} 2(n_{i,j} - 1). \quad (4.4)$$

By combining (4.2) and (4.3) we obtain

$$s - b = \sum_{i=1}^t \sum_{j=1}^{k_i} (n_{i,j} - 1), \quad w - e = \sum_{i=1}^t (-1)^{i-1} \sum_{j=1}^{k_i} (n_{i,j} - 1). \quad (4.5)$$

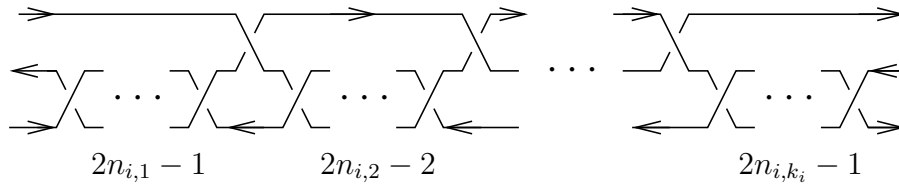


FIGURE 3. The tangle  $T_i$  for an odd  $i$ .

Recall that  $\mathbf{r}^\pm = \mathbf{r}^\pm(D)$  are defined by

$$\mathbf{r}^+ + \mathbf{r}^- = s - b, \quad \mathbf{r}^+ - \mathbf{r}^- = w - e. \quad (4.6)$$

By combining (4.4), (4.5), and (4.6) we obtain

$$2\mathbf{r}^+ = d^+ - \lceil t/2 \rceil \leq d^+, \quad 2\mathbf{r}^- = d^- - \lfloor t/2 \rfloor \leq d^-.$$

□

**4.1. How to extract the proof of Proposition 4.3 from [7].** The paper [7] is devoted to a computation of the braid index of alternating links presented by link diagrams of some specific forms. An upper bound for the braid index is the number of Seifert circles. A lower bound is given by Morton-Franks-Williams (MFW) inequality ([12, 20]). In many cases (those indicated in Theorem 4.2) it is shown in [7] that the MFW bound is sharp. In each case, this is done in [7] as follows. Given a reduced alternating diagram  $D$  of a link  $L$ , one chooses a certain collection  $C$  of lone crossings, and applies the Murasugi-Przytycki move [23] (MP-move) to each of them (MP-moves are also depicted in [34, Fig. 2] and [8, Fig. 11]). The number of Seifert surfaces of the resulting diagram  $D'$  (in general, non-alternating) is equal to the braid index of  $L$  and, moreover, the number of the performed MP-moves at positive (negative) crossings is equal to  $\mathbf{r}^+(D)$  (resp.  $\mathbf{r}^-(D)$ ).

A *constant-sign path of length  $n$*  in  $G_D$  is a sequence  $v_1, \dots, v_n$  of pairwise distinct vertices of  $G_D$  such that each pair of consecutive vertices  $(v_i, v_{i+1})$  is connected by an edge, and all these edges are of the same sign. One can check that the vertices of  $G_D$  corresponding to  $C$  are always chosen in [7] in some pairwise disjoint constant-sign paths. Moreover, at most  $\lfloor (n-1)/2 \rfloor$  crossings is chosen in each of the paths of length  $n$ . It is clear that any collection of pairwise disjoint paths is contained in some spanning tree. Thus we obtain (4.1) for all diagrams mentioned in Remark 4.4, in particular, this gives a proof of Proposition 4.3.

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INSTITUT DE MATHÉMATIQUES DE TOULOUSE, UNIVERSITÉ PAUL SABATIER, 118 ROUTE DE NARBONNE, 31062 TOULOUSE, FRANCE

STEKLOV MATHEMATICAL INSTITUTE, GUBKINA 8, MOSCOW, RUSSIA  
*E-mail address:* `stepan.orevkov@math.univ-toulouse.fr`

DEPARTMENT OF MATHEMATICS, KOÇ UNIVERSITY, 34450, ISTANBUL, TURKEY  
*E-mail address:* `bozbagci@ku.edu.tr`