RATIONAL BLOWDOWN GRAPHS FOR SYMPLECTIC FILLINGS OF LENS SPACES

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ABSTRACT. In a previous work, we proved that each minimal symplectic filling of any oriented lens space, viewed as the singularity link of some cyclic quotient singularity and equipped with its canonical contact structure, can be obtained from the minimal resolution of the singularity by a sequence of symplectic rational blowdowns along linear plumbing graphs. Here we give a dramatically simpler visual presentation of our rational blowdown algorithm in terms of the triangulations of a convex polygon. As a consequence, we are able to organize the symplectic deformation equivalence classes of all minimal symplectic fillings of any given lens space equipped with its canonical contact structure, as a graded, directed, rooted, and connected graph, where the root is the minimal resolution of the corresponding cyclic quotient singularity and each directed edge is a symplectic rational blowdown along an explicit linear plumbing graph. Moreover, we provide an upper bound for the *rational blowdown depth* of each minimal symplectic filling.

1. INTRODUCTION

For each pair of coprime integers (p,q) with $p > q \ge 1$, the lens space L(p,q) is orientation preserving diffeomorphic to the link of some cyclic quotient singularity. Let ξ_{can} denote the canonical contact structure on L(p,q), viewed as the singularity link. Lisca [12] classified the minimal symplectic fillings of $(L(p,q),\xi_{can})$, up to diffeomorphism. These diffeomorphism classes are parametrized by a set $\mathcal{Z}_k(\frac{p}{p-q})$ (see Section 2 for its definition) of certain *k*-tuples of nonnegative integers, where *k* is the length of the Hirzebruch-Jung continued fraction expansion of $\frac{p}{p-q}$. Moreover, each diffeomorphism class admits a unique symplectic structure, up to symplectic deformation equivalence. [1].

Let \mathcal{Z}_k denote the set of *admissible* k-tuples of nonnegative integers which *represent zero* (see Section 2 for its definition). As observed by Stevens [18], the set \mathcal{Z}_k can be identified with the set $\mathcal{T}(\mathcal{P}_{k+1})$ of all triangulations of a convex polygon \mathcal{P}_{k+1} with k + 1 vertices. By definition, $\mathcal{Z}_k(\frac{p}{p-q})$ is a certain subset of \mathcal{Z}_k . It follows that the set of symplectic deformation classes of minimal symplectic fillings

of $(L(p,q), \xi_{can})$ can be bijectively identified with a certain subset of $\mathcal{T}(\mathcal{P}_{k+1})$, which we denote by $\mathcal{T}^{p,q}(\mathcal{P}_{k+1})$ in this paper.

In [2], we proved that, up to symplectic deformation equivalence, each minimal symplectic filling of $(L(p,q), \xi_{can})$ can be obtained from the canonical symplectic filling, which is the minimal resolution of the corresponding cyclic quotient singularity, by a sequence of *symplectic* rational blowdowns along *linear* plumbing graphs. To prove this result, we first constructed an explicit planar Lefschetz fibration on each minimal symplectic filling. Then we provided an algorithm so that, for each minimal symplectic filling, one can start with the monodromy factorization for the Lefschetz fibration on the minimal resolution and, by applying a sequence of lantern substitutions, obtain the monodromy factorization for the Lefschetz fibration on the minimal symplectic filling at hand. According to our algorithm, one has to allow achiral Lefschetz fibrations in the mid-sequence but the end of the sequence is always a (positive) Lefschetz fibration. Finally, we showed that for each minimal symplectic filling, the concatenation of these lantern substitutions is a sequence of symplectic rational blowdowns along linear plumbing graphs.

In order to show that our rational blowdowns are in fact symplectic (not just smooth) surgeries, we relied on the fact that each such monodromy substitution in the monodromy factorization of a Lefschetz fibration is a symplectic surgery, due to the work of Gay and Mark [9].

Here we present our algorithm in terms of the triangulations of a convex polygon. The crucial observation is that each lantern substitution in the monodromy factorization of the corresponding planar Lefschetz fibration is realized by a diagonal flip move in the triangulations (see Section 3 for its definition), and therefore each rational blowdown which is obtained by a concatenation of lantern substitutions is realized by a sequence of diagonal flip moves. Moreover, with this new point of view, we are able to organize the symplectic deformation equivalence classes of all minimal symplectic fillings of $(L(p,q), \xi_{can})$ as a graded, directed, rooted, connected graph $\mathcal{G}_k^{p,q}$, where the root (meaning, the only vertex with no incoming edges) is the minimal resolution of the corresponding cyclic quotient singularity and each directed edge is a symplectic rational blowdown along an explicit linear plumbing graph. The grading is provided by the second Betti number of the minimal symplectic filling, where the minimal resolution has the highest grading.

Theorem 1. Let (p,q) be a pair of coprime integers with $p > q \ge 1$, and let k be the length of the Hirzebruch-Jung continued fraction expansion of $\frac{p}{p-q}$. Then there is a graded, directed, rooted, connected graph $\mathcal{G}_k^{p,q}$, which we call the rational blowdown graph, such that

- (1) there is a bijection between the set of vertices of $\mathcal{G}_k^{p,q}$ and the set $\mathcal{T}^{p,q}(\mathcal{P}_{k+1})$ of certain triangulations of the convex polygon \mathcal{P}_{k+1} with k + 1 vertices, which parameterizes the symplectic deformation equivalence classes of minimal symplectic fillings of $(L(p,q), \xi_{can})$,
- (2) the root vertex of $\mathcal{G}_k^{p,q}$ corresponds to the initial triangulation, representing the minimal resolution,
- (3) each directed edge in $\mathcal{G}_k^{p,q}$ corresponds to a sequence of diagonal flips in the triangulations, which represents a symplectic rational blowdown along a linear plumbing graph, and
- (4) each vertex of $\mathcal{G}_k^{p,q}$ is graded by the second Betti number of the minimal symplectic filling it represents and each directed edge drops the grading by the number of diagonal flips used to construct that edge in item (3).

Remark 2. There is an elementary algorithm to obtain the linear plumbing graph for each directed edge of $\mathcal{G}_k^{p,q}$ described in item (3) of Theorem 1, based on the sequence of diagonal flips used to construct that edge. We formulated this algorithm as Proposition 43.

The graph $\mathcal{G}_k^{p,q}$ of Theorem 1 is obtained from another graded, directed, rooted, connected graph, which we denote by \mathcal{G}_k . The set of vertices of \mathcal{G}_k corresponds bijectively to the set $\mathcal{T}(\mathcal{P}_{k+1})$ of all the triangulations of the convex polygon \mathcal{P}_{k+1} , and each *directed* edge connects two triangulations which differ only by a single diagonal flip along a distinguished diagonal. We think of the vertices of \mathcal{G}_k as light bulbs and the edges as the wires connecting the light bulbs. Once a pair (p,q) is fixed as in Theorem 1, the graph $\mathcal{G}_k^{p,q}$ is essentially obtained from the graph \mathcal{G}_k by turning on some of the light bulbs in \mathcal{G}_k determined by (p,q), and inserting new wires, if necessary, to bypass the light-bulbs which are not turned on.

It is possible that the symplectic deformation type of some minimal symplectic filling of $(L(p,q), \xi_{can})$ can be obtained from the minimal resolution by applying *distinct* sequences of symplectic rational blowdowns. This phenomenon is certainly reflected in our graph $\mathcal{G}_k^{p,q}$, as different possible paths (i.e., concatenations of the directed edges) from a vertex (in particular the root vertex) to any other are clearly visible in $\mathcal{G}_k^{p,q}$.

Definition 3. A minimal symplectic filling of $(L(p,q), \xi_{can})$ is said to have rational blowdown depth r if the minimal number of successive symplectic rational blowdowns along linear plumbing graphs needed to obtain the filling from the minimal resolution is equal to r, where the depth of the minimal resolution is set to be zero.

Definition 4. For $k \ge 3$, the depth of $\mathbf{n} = (n_1, \ldots, n_k) \in \mathbb{Z}_k$, denoted by $dpt(\mathbf{n})$, is the number of 1's in the interior of \mathbf{n} , i.e., $dpt(\mathbf{n})$ is the cardinality of the set $\{i \mid 1 < i < k \text{ and } n_i = 1\}$.

Proposition 5. Let $W_{p,q}(\mathbf{n})$ denote the minimal symplectic filling of $(L(p,q), \xi_{can})$ that corresponds to $\mathbf{n} = (n_1, \ldots, n_k)$ in the parameterizing set $\mathcal{Z}_k(\frac{p}{p-q})$. Then the rational blowdown depth of $W_{p,q}(\mathbf{n})$ is bounded above by $dpt(\mathbf{n})$. In particular, if $dpt(\mathbf{n}) = 1$, then $W_{p,q}(\mathbf{n})$ is obtained from the minimal resolution by a single symplectic rational blowdown.

Conjecture 6. The rational blowdown depth of $W_{p,q}(n)$ is in fact equal to dpt(n).

In Propositions 50 and 52, we give examples of minimal symplectic fillings of rational blowdown depth 2, and in Proposition 54, we give an example of a minimal symplectic filling of rational blowdown depth 3, all satisfying Conjecture 6.

Notice that each Milnor fibre of any given cyclic quotient singularity is a Stein (and hence minimal symplectic) filling of $(L(p,q),\xi_{can})$. By the work of Christophersen [4] and Stevens [18], the set $\mathcal{Z}_k(\frac{p}{p-q})$ also parameterizes these Milnor fibres, up to diffeomorphism. As a matter of fact, Lisca [12] proved that each diffeomorphism class of minimal symplectic fillings of $(L(p,q),\xi_{can})$ contains a Stein representative and proposed an *explicit* one-to-one correspondence between the set of such Stein representatives and the set of Milnor fibres of the corresponding cyclic quotient singularity, which was subsequently verified by Némethi and Popescu-Pampu [15].

On the other hand, it is well-known that, for any $(L(p, q), \xi_{can})$, the Milnor fibre of the Artin smoothing component of the corresponding cyclic quotient singularity gives a minimal symplectic filling which is symplectic deformation equivalent to the one obtained by deforming the symplectic structure on the minimal resolution (see [3]) of the singularity. The result below is an immediate consequence of the aforementioned one-to-one correspondence of Némethi and Popescu-Pampu [15].

Corollary 7. Analogues of Theorem 1 and Proposition 5 hold when minimal symplectic fillings are replaced by Milnor fibres of the corresponding cyclic quotient singularity and the minimal resolution is replaced with the Milnor fibre of the Artin smoothing component.

2. CONTINUED FRACTIONS AND TRIANGULATIONS OF A CONVEX POLYGON

Suppose that $p > q \ge 1$ are coprime integers and let

$$\frac{p}{p-q} = [b_1, b_2, \dots, b_k] := b_1 - \frac{1}{b_2 - \frac{1}{\ddots - \frac{1}{b_k}}}$$

be the Hirzebruch-Jung continued fraction expansion, where $b_i \ge 2$ for $1 \le i \le k$. Note that the sequence b_1, b_2, \ldots, b_k is uniquely determined by the pair (p, q). **Definition 8.** For any integer $k \ge 2$, a k-tuple of positive integers (n_1, \ldots, n_k) is called admissible if each of the denominators in the continued fraction $[n_1, \ldots, n_k]$ is positive.

Definition 9. For any integer $k \ge 2$, let $\mathcal{Z}_k \subset \mathbb{Z}^k$ denote the set of admissible k-tuples of positive integers $\mathbf{n} = (n_1, \ldots, n_k)$ such that $[n_1, \ldots, n_k] = 0$ and let $\mathcal{Z}_1 = \{(0)\}$. We set

$$\mathcal{Z}_k(\frac{p}{p-q}) = \{(n_1,\ldots,n_k) \in \mathcal{Z}_k \mid 0 \le n_i \le b_i \text{ for } i = 1,\ldots,k\}.$$

For any integer $k \ge 2$, let \mathcal{P}_{k+1} denote a convex polygon in the plane with k + 1 vertices. There is a simple identification of the set \mathcal{Z}_k with the set $\mathcal{T}(\mathcal{P}_{k+1})$ of all triangulations of \mathcal{P}_{k+1} due to Stevens [18] as follows. Fix and label a distinguished vertex of \mathcal{P}_{k+1} by V_* and label the rest of the vertices as V_1, \ldots, V_k traveling counterclockwise around \mathcal{P}_{k+1} . To each triangulation $\Delta \in \mathcal{T}(\mathcal{P}_{k+1})$, associate the *k*-tuple $\mathbf{n} = (n_1, \ldots, n_k) \in \mathcal{Z}_k$ so that n_i is the number of triangles in Δ including the vertex V_i , which gives an explicit bijection from $\mathcal{T}(\mathcal{P}_{k+1})$ to \mathcal{Z}_k .

Definition 10. For any $k \ge 2$, we denote the Stevens' bijection described above as

$$\Phi_k \colon \mathcal{T}(\mathcal{P}_{k+1}) \to \mathcal{Z}_k,$$

and set

$$\mathcal{T}^{p,q}(\mathcal{P}_{k+1}) := \Phi_k^{-1}(\mathcal{Z}_k(\frac{p}{p-q})).$$

Remark 11. Note that for any $k \ge 2$,

$$|\mathcal{T}(\mathcal{P}_{k+1})| = |\mathcal{Z}_k| = \frac{1}{k} \binom{2k-2}{k-1},$$

which is nothing but the *Catalan number* C_{k-1} .

Definition 12. Let *s* be an integer greater than or equal to 2. For any $1 \le j \le s - 1$, the blowup of an *s*-tuple (n_1, \ldots, n_s) of positive integers at the *j*th term is the (s + 1)-tuple $(n_1, \ldots, n_{j-1}, n_j + 1, 1, n_{j+1} + 1, n_{j+2}, \ldots, n_s)$. We call such a blowup as an interior blowup. The exterior blowup of an *s*-tuple (n_1, \ldots, n_s) of positive integers is the (s + 1)-tuple $(n_1, \ldots, n_{s-1}, n_s + 1, 1)$. We also say that $(0) \to (1, 1)$ is the initial blowup. The inverse of a blowup is called a blowdown.

It is well-known (see, for example, [12, Lemma 2]) that for any $\mathbf{n} \in \mathcal{Z}_k$, there is a blowup sequence

$$(0) \rightarrow (1,1) \rightarrow \cdots \rightarrow \mathbf{n}$$

starting with the initial blowup $(0) \rightarrow (1,1)$ and ending with **n**, although such a blowup sequence is not necessarily unique. This observation leads to the following definition of the height of **n**, which appeared in [2].

Definition 13. For $k \ge 2$, we say that $\mathbf{n} = (n_1, \ldots, n_k) \in \mathbb{Z}_k$ has height r, and denote it by $\operatorname{ht}(\mathbf{n}) = r$, if r is the minimal number of blowups required to obtain \mathbf{n} from an s-tuple $\mathbf{u}_s = (1, \underbrace{2, \ldots, 2}_{s-2}, 1) \in \mathbb{Z}^s$, for some $s \ge 2$. We also set $\mathbf{u}_1 = (0)$ and $\operatorname{ht}(\mathbf{u}_1) = 0$.

It follows that $ht(\mathbf{u}_s) = 0$ for all $s \ge 1$.

Example 14. Consider the following blowup sequence

$$(0) \xrightarrow[\text{initial}]{} (1,1) \xrightarrow[\text{exterior}]{} (1,2,1) \xrightarrow[\text{interior}]{} (1,3,1,2) \xrightarrow[\text{interior}]{} (1,3,2,1,3)$$
$$\xrightarrow[\text{exterior}]{} (1,3,2,1,4,1) \xrightarrow[\text{interior}]{} (2,1,4,2,1,4,1) = \mathbf{n} \in \mathcal{Z}_{7}.$$

Note that there is a blowdown sequence

 $\mathbf{n} = (2, \mathbf{1}, 4, 2, 1, 4, 1) \rightarrow (1, 3, 2, \mathbf{1}, 4, 1) \rightarrow (1, 3, \mathbf{1}, 3, 1) \rightarrow (1, 2, 2, 1) = \mathbf{u}_4,$

obtained by blowing down at the leftmost *interior* **1** at each step, which shows that $ht(\mathbf{n}) \leq 3$, and as a matter of fact $ht(\mathbf{n}) = 3$ by Lemma 15 below, an observation that was mentioned in [2, page 1526], without a proof. Note that there are two other blowdown sequences

 $\mathbf{n} = (2, 1, 4, 2, \mathbf{1}, 4, 1) \rightarrow (2, 1, 4, \mathbf{1}, 3, 1) \rightarrow (2, \mathbf{1}, 3, 2, 1) \rightarrow (1, 2, 2, 1) = \mathbf{u}_4,$

 $\mathbf{n} = (2, 1, 4, 2, \mathbf{1}, 4, 1) \rightarrow (2, \mathbf{1}, 4, 1, 3, 1) \rightarrow (1, 3, \mathbf{1}, 3, 1) \rightarrow (1, 2, 2, 1) = \mathbf{u}_4,$

obtained similarly but by making different choices.

Lemma 15. By setting, $|\mathbf{n}| = n_1 + \cdots + n_k$, we have $ht(\mathbf{n}) = |\mathbf{n}| - 2(k-1)$ for any $\mathbf{n} \in \mathcal{Z}_k$.

Proof. Write $g(\mathbf{n}) = |\mathbf{n}| - 2(k-1)$, for $\mathbf{n} \in \mathcal{Z}_k$. It is easy to see that for $\mathbf{n} \in \mathcal{Z}_k$, $g(\mathbf{n}) = 0$ if and only if $\mathbf{n} = \mathbf{u}_k$. Since $0 \le g(\mathbf{n}) - g(\mathbf{n}') \le 1$ whenever \mathbf{n}' is obtained by blowing down \mathbf{n} , it follows that $ht(\mathbf{n}) \ge g(\mathbf{n})$. We check that the inequality $ht(\mathbf{n}) \le g(\mathbf{n})$ also holds. To see this note that if $\mathbf{n} \ne \mathbf{u}_k$, then we can always perform an interior blowdown on \mathbf{n} . Indeed, suppose that for some $\mathbf{n} \in \mathcal{Z}_k$ that is different from \mathbf{u}_k , there are no interior 1's. Then blowing down \mathbf{n} , necessarily at an exterior 1, would give a (k-1)-tuple \mathbf{n}' which again had no interior 1's. Repeatedly blowing down we must eventually get \mathbf{u}_2 . Since each blowdown was at an exterior 1, the original k-tuple \mathbf{n} must be \mathbf{u}_k , contrary to assumption. Thus blowing down \mathbf{n} at an interior 1 $g(\mathbf{n})$ -times will give $\mathbf{u}_{k-g(\mathbf{n})}$. It follows that we have $ht(\mathbf{n}) \le g(\mathbf{n})$ and hence $ht(\mathbf{n}) = g(\mathbf{n})$.

Remark 16. It follows from the proof of Lemma 15 that $ht(\mathbf{n})$ is the number of *interior* blowups in *any* blowup sequence $(0) \rightarrow (1, 1) \rightarrow \cdots \rightarrow \mathbf{n}$.

In the convex polygon \mathcal{P}_{k+1} , with the fixed distinguished vertex V_{\star} and the rest of the vertices V_1, \ldots, V_k labelled counterclockwise as in Section 2, there are exactly k - 2 distinguished diagonals d_1, \ldots, d_{k-2} , defined so that for each $1 \le i \le k-2$, the diagonal d_i connects V_{\star} to the vertex V_{i+1} .

Definition 17. For any integer $k \ge 3$, the triangulation $\Delta_* \in T(\mathcal{P}_{k+1})$ which is obtained by using precisely the set $\{d_1, \ldots, d_{k-2}\}$ of all distinguished diagonals is called the initial triangulation.



FIGURE 1. Initial triangulations for k = 3, 4, 5, 6, respectively.

In Figure 1, we depicted the initial triangulations for k = 3, 4, 5, 6. Note that if d is *any* diagonal which appears in any triangulation $\Delta \in \mathcal{T}(\mathcal{P}_{k+1})$, then the union of the two triangles on either side of d makes up a quadrilateral which is bisected by d into two triangles of Δ .

Definition 18. Suppose that $\Delta \in \mathcal{T}(\mathcal{P}_{k+1})$ is a triangulation which includes a distinguished diagonal d_i for some $1 \leq i \leq k-2$. A diagonal flip of Δ along d_i is a transformation of Δ into another triangulation $\widetilde{\Delta} \in \mathcal{T}(\mathcal{P}_{k+1})$ where d_i is replaced by the unique non-distinguished diagonal \widetilde{d}_i of the unique quadrilateral which is bisected by d_i into two triangles of Δ .

In Figure 2, for example, we depicted a sequence of diagonal flips along distinguished diagonals, starting from the initial triangulation of the heptagon.

Remark 19. Note that the non-distinguished diagonal \tilde{d}_i in Definition 18, depends on the quadrilateral which is determined by specifying its three non-distinguished vertices. We will refer to \tilde{d}_i as the dual of the distinguished diagonal d_i in that quadrilateral. In other words, the dual diagonal \tilde{d}_i is the "image" of the distinguished diagonal d_i under the diagonal flip move.

In the following, for each integer $k \ge 3$, we will describe the graded, directed, rooted, connected graph \mathcal{G}_k which organizes the triangulations of the convex polygon \mathcal{P}_{k+1} with respect to their *heights*.

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FIGURE 2. A sequence of diagonal flips.

Definition 20. The height of a triangulation $\Delta \in \mathcal{T}(\mathcal{P}_{k+1})$ is defined as the height of $\Phi_k(\Delta) \in \mathcal{Z}_k$ under the Stevens' bijection Φ_k .

Next we show that each diagonal flip along a distinguished diagonal increases the height of a given triangulation by one.

Lemma 21. Suppose that $\Delta \in \mathcal{T}(\mathcal{P}_{k+1})$ is a triangulation which includes a distinguished diagonal d. If $\widetilde{\Delta} \in \mathcal{T}(\mathcal{P}_{k+1})$ is the triangulation obtained from Δ by the diagonal flip along d, then $\operatorname{ht}(\widetilde{\Delta}) = \operatorname{ht}(\Delta) + 1$.

Proof. By Definition 18, the diagonal flip along the distinguished diagonal d occurs in a quadrilateral which has one distinguished vertex V_* and three other vertices, say V_r, V_s, V_t , ordered counterclockwise, where the distinguished diagonal d that connects the vertices V_* and V_s is exchanged with the dual diagonal \tilde{d} that connects the vertices V_r and V_t . As a result of this exchange, the number of triangles including the vertex V_s decreases by 1, but the number of triangles including each of the two remaining non-distinguished vertices V_r and V_t of the quadrilateral increases by 1. Since the number of triangles including each vertex of the polygon \mathcal{P}_{k+1} , other than V_r, V_s and V_t remains the same, it follows that $\operatorname{ht}(\tilde{\Delta}) = \operatorname{ht}(\Delta) + 1$, by Lemma 15.

Definition 22. For any $\mathbf{n} \in \mathcal{Z}_k$, we set $\Delta(\mathbf{n}) = \Phi_k^{-1}(\mathbf{n}) \in \mathcal{T}(\mathcal{P}_{k+1})$, where

$$\Phi_k \colon \mathcal{T}(\mathcal{P}_{k+1}) \to \mathcal{Z}_k$$

is the Stevens' bijection.

It follows by Definition 20 that $ht(\Delta(\mathbf{n})) = ht(\mathbf{n})$.

Proposition 23. For any integer $k \ge 3$, there is a graded, directed, rooted, connected graph \mathcal{G}_k such that

(1) there is a bijection ψ_k from the set $\mathcal{T}(\mathcal{P}_{k+1})$ of all triangulation of the convex polygon \mathcal{P}_{k+1} with k + 1 vertices, to the set of vertices of \mathcal{G}_k ,

- (2) the root of \mathcal{G}_k is the image $\psi_k(\Delta_\star)$ of the initial triangulation $\Delta_\star = \Delta(\boldsymbol{u}_k)$,
- (3) *if the triangulation* $\Delta \in \mathcal{T}(\mathcal{P}_{k+1})$ *is obtained from the triangulation* $\Delta \in \mathcal{T}(\mathcal{P}_{k+1})$ *by a single diagonal flip along a distinguished diagonal, then there is a directed edge from the vertex* $\psi_k(\Delta)$ *to the vertex* $\psi_k(\widetilde{\Delta})$ *, and*
- (4) each vertex $\psi_k(\Delta)$ is graded by the height of Δ and the grading increases by one along each directed edge.

Proof. Fix any integer $k \ge 3$. We take the set $\mathcal{T}(\mathcal{P}_{k+1})$ of triangulations of the convex polygon \mathcal{P}_{k+1} as the vertices of our graph \mathcal{G}_k , which implicitly defines the bijection ψ_k in Proposition 23. In the following we suppress ψ_k from the notation. To construct the graph \mathcal{G}_k , we organize the triangulations in $\mathcal{T}(\mathcal{P}_{k+1})$ with respect to their heights. We define the root of \mathcal{G}_k as the initial triangulation $\Delta_* = \Delta(\mathbf{u}_k) \in \mathcal{T}(\mathcal{P}_{k+1})$, which is the only triangulation of height zero, by Lemma 15.

Right below the root vertex $\Delta(\mathbf{u}_k)$, we place vertices in the first row, corresponding to height 1 triangulations of \mathcal{P}_{k+1} , each of which is obtained from $\Delta(\mathbf{u}_k)$ by a single diagonal flip along a distinguished diagonal. Since there are k - 2 distinguished diagonals $\{d_1, \ldots, d_{k-2}\}$ of \mathcal{P}_{k+1} , we have k - 2 height 1 triangulations which are naturally ordered from left to right according to which distinguished diagonal we flip. Moreover, we insert an edge that connects the root vertex to each of the height 1 triangulations. Hence the root vertex has no incoming edges, by definition, and is connected to k - 2 distinct vertices by outgoing edges denoted e_1, \ldots, e_{k-2} , respectively, so that the end vertex of e_i is obtained from $\Delta(\mathbf{u}_k)$ by the diagonal flip along the distinguished diagonal d_i . See Figure 3 for the case k = 5.

Next we place the triangulations (i.e. vertices) of height 2 in a row right below the row of vertices of height 1, and insert the connecting edges between height 1 and height 2 vertices as follows. Note that each height 2 triangulation is obtained from some height 1 triangulation by a single diagonal flip. Now consider the left-most vertex in the first row, and apply single diagonal flip along each of the remaining distinguished diagonals, in the order of increasing indices of the diagonals. Then move on to the next vertex in the first row, repeat the same process and place the new vertices in the second row right next to the already existing vertices. It is clear that one can apply the same process for each of the vertices in the first row, with the *caveat* that some height 2 triangulation may be obtained from two distinct height 1 triangulations. To avoid repetitions, we employ the following rule: if a height 2 triangulation already appears in the second row, we do not insert a new vertex if the same triangulation can be obtained from another height 1 triangulation. For example, the triangulation $\Delta((2, 1, 4, 1, 2))$ of height 2 in Figure 3 can be obtained either from the triangulation $\Delta((2,1,3,2,1))$ or the triangulation $\Delta((1,2,3,1,2)).$



FIGURE 3. The graded, directed, rooted, connected graph G_5 of the triangulations of the hexagon.

Now we explain how to insert edges between height 1 and height 2 vertices. If a height 2 triangulation is obtained by a diagonal flip along a distinguished diagonal d_j from a height 1 triangulation which is the end point of some edge e_i (where we necessarily have $i \neq j$), then we insert an edge, denoted $e_{i,j}$, to connect the height 1 triangulation to the height 2 triangulation.

It should be clear that this procedure can be iterated until there are no more distinguished diagonals to be flipped, so that in the *r*th row, we have all the height *r* triangulations of \mathcal{P}_{k+1} , without any repetitions. We *orient* every edge so that the height of the end point is one higher than the height of the source. Moreover, each vertex of height $r \ge 1$ in \mathcal{G}_k has *at least* one incoming edge and exactly k - r - 2 outgoing edges. Note that some edges might have multiple names. For example the edge $e_{1,3,2}$ is the same as the edge $e_{3,1,2}$ in Figure 3.

To finish the proof, we need to show that every triangulation $\Delta \in \mathcal{T}(\mathcal{P}_{k+1})$ appears once in \mathcal{G}_k and that \mathcal{G}_k is connected. This follows from Lemma 24 below. \Box

Lemma 24. Let $\Delta(n)$ be a triangulation of \mathcal{P}_{k+1} and suppose that ht(n) = s. Then there is a one-to-one correspondence between blowdown sequences

$$\boldsymbol{n} = \boldsymbol{n}^0 \rightarrow \boldsymbol{n}^1 \rightarrow \cdots \rightarrow \boldsymbol{n}^s = \boldsymbol{u}_{k-s},$$

where each blowdown is at an interior 1, and paths

$$\Delta(\boldsymbol{u}_k) = \Delta(\boldsymbol{n}_0), \Delta(\boldsymbol{n}_1), \dots, \Delta(\boldsymbol{n}_s) = \Delta(\boldsymbol{n})$$

in \mathcal{G}_k starting at the root vertex $\Delta(\mathbf{u}_k)$ and ending at $\Delta(\mathbf{n})$.

Proof. The first blowdown $\mathbf{n}^0 \to \mathbf{n}^1$ at an interior 1 of \mathbf{n}^0 corresponds geometrically to peeling off from $\Delta(\mathbf{n})$ a triangle, called τ_1 , that has two edges along the boundary of \mathcal{P}_{k+1} meeting at a vertex of \mathcal{P}_{k+1} , which corresponds to the interior 1 that we blow down. Let d_{i_1} be the distinguished diagonal of \mathcal{P}_{k+1} connecting this vertex to the distinguished vertex of \mathcal{P}_{k+1} . Note that there is a unique quadrilateral in \mathcal{P}_{k+1} , whose non-distinguished vertices are precisely the vertices of τ_1 , and the interior edge of τ_1 is dual (see Remark 19) to d_{i_1} in that quadrilateral, so that the interior edge of τ_1 is denoted by \tilde{d}_{i_1} .

Since we peeled off τ_1 from \mathcal{P}_{k+1} corresponding to the first blowdown $\mathbf{n}^0 \to \mathbf{n}^1$, the remaining polygon can be identified with \mathcal{P}_k , which is embedded in \mathcal{P}_{k+1} . The second blowdown $\mathbf{n}^1 \to \mathbf{n}^2$ at an interior 1 of \mathbf{n}^1 corresponds geometrically to peeling off from $\Delta(\mathbf{n}^1)$ a triangle, called τ_2 , that has two edges along the boundary of \mathcal{P}_k meeting at a vertex of \mathcal{P}_k , which corresponds to the interior 1 that we blow down. The distinguished diagonal of \mathcal{P}_k connecting this vertex to the distinguished vertex of \mathcal{P}_k is also a distinguished diagonal of \mathcal{P}_{k+1} by the embedding of \mathcal{P}_k into \mathcal{P}_{k+1} . We label this distinguished diagonal of \mathcal{P}_{k+1} as d_{i_2} . Moreover, there is a unique quadrilateral in $\mathcal{P}_k \subset \mathcal{P}_{k+1}$, whose non-distinguished vertices are precisely the vertices of τ_2 , where the interior edge of τ_2 is dual to d_{i_2} in that quadrilateral, so that the interior edge of τ_2 is denoted by \tilde{d}_{i_2} .

Continuing in this way, until we arrive at $\mathbf{n}^s = \mathbf{u}_{k-s}$, we obtain a sequence of triangles $\tau_1, \tau_2, \ldots, \tau_s$ in $\Delta(\mathbf{n})$ and a sequence of diagonals $d_{i_1}, d_{i_2}, \ldots, d_{i_s}$ of \mathcal{P}_{k+1} . It follows by our construction that flipping the diagonals of the initial triangulation $\Delta(\mathbf{u}_k) \in \mathcal{T}(\mathcal{P}_{k+1})$ in the order $d_{i_1}, d_{i_2}, \ldots, d_{i_s}$ gives precisely the triangulation $\Delta(\mathbf{n})$.

It is easy to see that this process can be reversed. Namely, any path starting from the root vertex of \mathcal{G}_k and ending at a vertex $\Delta(\mathbf{n})$ is uniquely specified by a sequence of distinguished diagonals of \mathcal{P}_{k+1} , and this sequence of diagonals via the canonically associated sequence of triangles specifies a unique blowdown sequence starting at \mathbf{n} and ending at \mathbf{u}_{k-s} .

Example 25. We illustrate the proof of Lemma 24 for $\mathbf{n} = (3, 2, 1, 4, 2, 1, 4) \in \mathbb{Z}_7$. Let $\Delta(\mathbf{n}) \in \mathbb{G}_7$ be the corresponding triangulation of the octagon depicted in Figure 4. We observe that $ht(\mathbf{n}) = 5$ by Lemma 15 and take the blowdown sequence

$$\mathbf{n} = (3, 2, 1, 4, 2, 1, 4) \rightarrow \mathbf{n}^{1} = (3, 1, 3, 2, 1, 4) \rightarrow \mathbf{n}^{2} = (2, 2, 2, 1, 4) \rightarrow$$
$$\mathbf{n}^{3} = (2, 2, 1, 3) \rightarrow \mathbf{n}^{4} = (2, 1, 2) \rightarrow \mathbf{n}^{5} = (1, 1) = \mathbf{u}_{2},$$

which we depicted geometrically in the top row of Figure 5, by peeling off the triangles $\tau_1, \tau_2, \ldots, \tau_5$ in order. The corresponding path

$$\begin{aligned} \Delta(\mathbf{n}_0) &= \Delta(\mathbf{u}_7) = \Delta((1, 2, 2, 2, 2, 2, 1)), \Delta(\mathbf{n}_1) = \Delta((1, 3, 1, 3, 2, 2, 1)), \\ \Delta(\mathbf{n}_2) &= \Delta((2, 2, 1, 4, 2, 2, 1)), \Delta(\mathbf{n}_3) = \Delta((2, 2, 1, 4, 3, 1, 2)), \\ \Delta(\mathbf{n}_4) &= \Delta((2, 2, 1, 5, 2, 1, 3)), \Delta(\mathbf{n}_5) = \Delta(\mathbf{n}) \end{aligned}$$

of vertices of \mathcal{G}_7 is depicted in the bottom row of Figure 5.



FIGURE 4. The triangulation $\Delta((3, 2, 1, 4, 2, 1, 4))$ of the octagon.



FIGURE 5. Peeling off triangles and flipping diagonals.

Note that in this example, there are six distinct blowdown sequences starting from $\mathbf{n} = (3, 2, 1, 4, 2, 1, 4)$ and ending with \mathbf{u}_2 , which is equivalent to the fact that there are six distinct paths in the graph \mathcal{G}_7 from the root vertex $\Delta(\mathbf{u}_7)$ to the vertex $\Delta(\mathbf{n})$.

4. FLIPPING CONTIGUOUSLY AND RIEMENSCHNEIDER'S POINT DIAGRAMS

Definition 26. Suppose that $p > q \ge 1$ are coprime integers and let $\frac{p}{p-q} = [b_1, b_2, \dots, b_k]$ be the Hirzebruch-Jung continued fraction expansion, where $b_i \ge 2$ for $1 \le i \le k$. We set

$$\mathrm{HJ}(\frac{p}{p-q}) = (b_1, b_2, \dots, b_k).$$

Note that the *k*-tuple (b_1, b_2, \ldots, b_k) of integers is uniquely determined by the pair (p, q). Similarly, if $\frac{p}{q} = [a_1, a_2, \ldots, a_r]$, then we set $HJ(\frac{p}{q}) = (a_1, a_2, \ldots, a_r)$. There is a duality between $HJ(\frac{p}{p-q}) = (b_1, b_2, \ldots, b_k)$, and $HJ(\frac{p}{q}) = (a_1, a_2, \ldots, a_r)$ obtained by using the *Riemenschneider's point diagram method* [17]: place in the *i*th row $b_i - 1$ dots, the first one under the last one of the (i - 1)st row; then column *j* contains $a_j - 1$ dots. Using this method, one can compute $HJ(\frac{p}{p-q})$ from $HJ(\frac{p}{q})$ and vice-versa. See Figure 7, for an example of the Riemenschneider's point diagram method.

Definition 27. Suppose that

$$\Delta(\boldsymbol{n}_0) \xrightarrow[flip]{d_{i_1}} \Delta(\boldsymbol{n}_1) \xrightarrow[flip]{d_{i_2}} \Delta(\boldsymbol{n}_2) \to \cdots \to \Delta(\boldsymbol{n}_{r-1}) \xrightarrow[flip]{d_{i_r}} \Delta(\boldsymbol{n}_r)$$

is a path in \mathcal{G}_k , where $d_{i_1}, d_{i_2}, \ldots, d_{i_r}$ is the corresponding sequence of distinguished diagonals of \mathcal{P}_{k+1} that are flipped along the edges of this path. We say that $d_{i_1}, d_{i_2}, \ldots, d_{i_r}$ is a contiguous sequence of distinguished diagonals in the triangulation $\Delta(\mathbf{n}_0)$ if any successive pair $d_{i_{j+1}}, d_{i_{j+2}}$ bound a triangle in $\Delta(\mathbf{n}_j)$ for $0 \le j \le r-2$. We also say that $\Delta(\mathbf{n}_0)$, $\Delta(\mathbf{n}_1), \ldots, \Delta(\mathbf{n}_r)$ is a contiguous path in \mathcal{G}_k .

Example 28. In Figure 6, we depicted a *contiguous* path in \mathcal{G}_9 , starting from the initial triangulation $\Delta(\mathbf{u}_9)$ and ending with $\Delta(2, 2, 2, 4, 2, 1, 3, 2, 5)$ obtained by flipping along the *contiguous* sequence d_5 , d_4 , d_6 , d_7 , d_3 , d_2 , d_1 of distinguished diagonals in the initial triangulation of the decagon.

Remark 29. In Example 25, the sequence d_2 , d_1 , d_5 , d_4 , d_3 of distinguished diagonals is not contiguous in $\Delta(\mathbf{u}_7)$, since the pair d_1 , d_5 do not bound a triangle in $\Delta(\mathbf{n}_1)$.

Lemma 30. Fix an integer $k \ge 3$ and let $n \in \mathbb{Z}_k$ so that $ht(n) \ge 1$. Then the following are equivalent:

- (1) there is a contiguous path from $\Delta(\mathbf{u}_k)$ to $\Delta(\mathbf{n})$ in \mathcal{G}_k
- (2) dpt(n) = 1

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FIGURE 6. Flips along a contiguous sequence d_5 , d_4 , d_6 , d_7 , d_3 , d_2 , d_1 of distinguished diagonals in the initial triangulation of the decagon.

(3) there is a unique path from $\Delta(\mathbf{u}_k)$ to $\Delta(\mathbf{n})$ in \mathcal{G}_k

Proof. Suppose that $\mathbf{n} \in \mathcal{Z}_k$ such that $ht(\mathbf{n}) = s \ge 1$.

 $(1) \Rightarrow (2)$ Suppose that there is a contiguous path

$$\Delta(\mathbf{u}_k) = \Delta(\mathbf{n}_0) \xrightarrow[flip]{d_{i_1}} \Delta(\mathbf{n}_1) \xrightarrow[flip]{d_{i_2}} \Delta(\mathbf{n}_2) \to \dots \to \Delta(\mathbf{n}_{s-1}) \xrightarrow[flip]{d_{i_s}} \Delta(\mathbf{n}_s) = \Delta(\mathbf{n})$$

in \mathcal{G}_k , where d_{i_1}, \ldots, d_{i_s} is a contiguous sequence of distinguished diagonals of $\Delta(\mathbf{u}_k)$. We would like to show that $dpt(\mathbf{n}) = 1$. First of all, in the triangulation $\Delta(\mathbf{n}_1)$, obtained from $\Delta(\mathbf{u}_k)$ by the diagonal flip along d_{i_1} , there is a unique triangle τ_1 whose only interior edge is \tilde{d}_{i_1} . It follows that $dpt(\mathbf{n}_1) = 1$. Now if we peel away τ_1 from $\Delta(\mathbf{n}_1)$, the result is identical to the initial triangulation $\Delta(\mathbf{u}_{k-1})$ of \mathcal{P}_k and d_{i_2}, \ldots, d_{i_s} is a contiguous sequence of distinguished diagonals of $\Delta(\mathbf{u}_{k-1})$. By a straightforward inductive argument and pasting the triangle τ_1 back we see that $dpt(\mathbf{n}) = 1$. Namely, **n** has exactly one *interior* component that is equal to 1.

 $(2) \Rightarrow (3)$ Suppose that dpt(**n**) = 1, which, by definition, means that **n** has exactly one interior component that is equal to 1. By blowing down successively the unique interior 1 at each step, we get a blowdown sequence

$$\mathbf{n} = \mathbf{n}^0 \rightarrow \mathbf{n}^1 \rightarrow \cdots \rightarrow \mathbf{n}^s = \mathbf{u}_{k-s}.$$

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Then according to Lemma 24, there is a unique path

$$\Delta(\mathbf{u}_k) = \Delta(\mathbf{n}_0), \Delta(\mathbf{n}_1), \dots, \Delta(\mathbf{n}_s) = \Delta(\mathbf{n})$$

in \mathcal{G}_k , starting at the root vertex $\Delta(\mathbf{u}_k)$ and ending at $\Delta(\mathbf{n})$.

 $(3) \Rightarrow (1)$ Suppose that there is a unique path

$$\Delta(\mathbf{u}_k) = \Delta(\mathbf{n}_0) \xrightarrow[flip]{d_{i_1}} \Delta(\mathbf{n}_1) \xrightarrow[flip]{d_{i_2}} \Delta(\mathbf{n}_2) \to \dots \to \Delta(\mathbf{n}_{s-1}) \xrightarrow[flip]{d_{i_s}} \Delta(\mathbf{n}_s) = \Delta(\mathbf{n})$$

in \mathcal{G}_k , where d_{i_1}, \ldots, d_{i_s} is a sequence of distinguished diagonals of $\Delta(\mathbf{u}_k)$. Then we claim that d_{i_1}, \ldots, d_{i_s} must be a *contiguous* sequence of distinguished diagonals in $\Delta(\mathbf{n})$. Indeed if d_{i_j} and $d_{i_{j+1}}$ were not adjacent for some j, then we could find an alternate path from $\Delta(\mathbf{u}_k)$ to $\Delta(\mathbf{n})$ in \mathcal{G}_k by interchanging the order in which we flip d_{i_j} and $d_{i_{j+1}}$, contradicting the uniqueness of the path between $\Delta(\mathbf{u}_k)$ to $\Delta(\mathbf{n})$ in \mathcal{G}_k .

Lemma 31. Fix an integer $k \ge 3$ and let $n, n' \in \mathbb{Z}_k$. Suppose that there exists a unique path from $\Delta(n)$ to $\Delta(n')$ in \mathcal{G}_k . Then $dpt(n') - dpt(n) \le 1$.

Proof. Let $\mathbf{n}, \mathbf{n}' \in \mathcal{Z}_k$ and suppose that there exists a unique path

$$\Delta(\mathbf{n}) = \Delta(\mathbf{n}_0) \xrightarrow[flip]{d_{i_1}} \Delta(\mathbf{n}_1) \xrightarrow[flip]{d_{i_2}} \Delta(\mathbf{n}_2) \to \dots \to \Delta(\mathbf{n}_{t-1}) \xrightarrow[flip]{d_{i_t}} \Delta(\mathbf{n}_t) = \Delta(\mathbf{n}')$$

in \mathcal{G}_k . Then this path is contiguous by the same argument given in the proof of $(3) \Rightarrow (1)$ in Lemma 30. Note that by the contiguity of the above path, we have $dpt(\mathbf{n}_j) = dpt(\mathbf{n}_1)$, for all $2 \le j \le t$. It follows that $dpt(\mathbf{n}') = dpt(\mathbf{n}) + 1$ if $dpt(\mathbf{n}_1) = dpt(\mathbf{n}_0) + 1$, and otherwise $dpt(\mathbf{n}') = dpt(\mathbf{n})$.

Definition 32. For any integer $s \ge 1$, let \mathcal{O}^s denote the set of all *s*-tuples that can be obtained from the 1-tuple (4) and applying the following iterations successively:

- (a) Insert 2 as the first component and increase the last component by 1, or
- *(b) Insert* 2 *as the last component and increase the first component by* 1*.*

We set $\mathcal{O} = \bigcup_{s \geq 1} \mathcal{O}^s$.

Lemma 33. Fix an integer $k \ge 3$ and let $\mathbf{n} \in \mathcal{Z}_k$ so that $ht(\mathbf{n}) = k - 2$ and $dpt(\mathbf{n}) = 1$. Suppose that

$$\Delta(\boldsymbol{u}_k) = \Delta(\boldsymbol{n}_0) \xrightarrow[flip]{d_{i_1}} \Delta(\boldsymbol{n}_1) \xrightarrow[flip]{d_{i_2}} \Delta(\boldsymbol{n}_2) \to \dots \to \Delta(\boldsymbol{n}_{k-3}) \xrightarrow[flip]{d_{i_{k-2}}} \Delta(\boldsymbol{n}_{k-2}) = \Delta(\boldsymbol{n})$$

is the unique contiguous path in \mathcal{G}_k (as described in Lemma 30), where $d_{i_1}, \ldots, d_{i_{k-2}}$ is a contiguous sequence of distinguished diagonals in $\Delta(\mathbf{u}_k)$. Let \mathbf{m} denote the k-tuple having 1 in the position that \mathbf{n} has a 1 and 0 elsewhere, and let $1 \leq q < p$ be the unique coprime integers such that $\mathrm{HJ}(\frac{p}{p-q}) = \mathbf{n} + \mathbf{m}$. Then $\mathrm{HJ}(\frac{p}{q})$ belongs to \mathcal{O}^{k-2} and moreover, it can be

described by starting from the 1-tuple (4) and applying k - 3 iterations according to the following rule:

- (a) If $i_j < i_{j+1}$, then insert 2 as the first component and increase the last component by 1, and
- (b) If $i_j > i_{j+1}$, then insert 2 as the last component and increase the first component by 1.

Remark 34. Because of the assumption $ht(\mathbf{n}) = ht(\Delta(\mathbf{n})) = k - 2$, the triangulation $\Delta(\mathbf{n})$ is obtained from \mathbf{u}_k by flipping all the distinguished diagonals in \mathbf{u}_k in some order. It follows that if any component of the *k*-tuple \mathbf{n} is equal to 1, it must be an interior component. So, the condition $dpt(\mathbf{n}) = 1$ is equivalent to the condition that \mathbf{n} has exactly one component that is equal to 1, which is in the interior of \mathbf{n} .

Proof of Lemma 33. Let $\mathbf{n} \in \mathcal{Z}_k$ so that $ht(\mathbf{n}) = k - 2$ and $dpt(\mathbf{n}) = 1$. By Lemma 30, there is a contiguous path

$$\Delta(\mathbf{u}_k) = \Delta(\mathbf{n}_0) \xrightarrow[flip]{d_{i_1}} \Delta(\mathbf{n}_1) \xrightarrow[flip]{d_{i_2}} \Delta(\mathbf{n}_2) \to \dots \to \Delta(\mathbf{n}_{k-3}) \xrightarrow[flip]{d_{i_{k-2}}} \Delta(\mathbf{n}_{k-2}) = \Delta(\mathbf{n})$$

in \mathcal{G}_k , where $d_{i_1}, \ldots, d_{i_{k-2}}$ is a contiguous sequence of distinguished diagonals in $\Delta(\mathbf{u}_k)$. Since we flip all the distinguished diagonals of $\Delta(\mathbf{u}_k)$ contiguously to obtain $\Delta(\mathbf{n})$, the last distinguished diagonal that is flipped must be either d_1 or d_{k-2} . In Example 28, for instance, the last distinguished diagonal that is flipped is d_1 (see Figure 6).

In the following, for ease of notation, we set $\mathbf{n}' = \mathbf{n}_{k-3}$. Note that $\Delta(\mathbf{n}')$ is obtained from $\Delta(\mathbf{u}_k)$ by flipping the diagonals $d_{i_1}, \ldots, d_{i_{k-3}}$, in order. Our proof naturally splits into two possible cases.

Case A: Suppose that $d_{i_{k-2}} = d_1$. In this case, we observe that $d_{i_{k-2}} = d_1$ is geometrically in the *leftmost* position. Now, we peel away from $\Delta(\mathbf{n}')$ the "upper left" triangle whose only interior edge is d_1 and denote the resulting triangulation of \mathcal{P}_k as $\Delta(\mathbf{\bar{n}'})$. Note that $\mathbf{\bar{n}'}$ has only one component that is equal to 1, which is in the interior of $\mathbf{\bar{n}'}$, by the first assumption in the lemma. It follows that the *k*-tuple \mathbf{n} is obtained from the (k-1)-tuple $\mathbf{\bar{n}'}$ by increasing the last component of $\mathbf{\bar{n}'}$ by 1 and inserting 2 at the beginning.

Let **m** denote the *k*-tuple having 1 in the position that **n** has a 1 and 0 elsewhere, and similarly let $\overline{\mathbf{m}}'$ denote the (k - 1)-tuple having 1 in the position that $\overline{\mathbf{n}}'$ has a 1 and 0 elsewhere. Let $1 \leq q < p$ be the unique coprime integers such that $HJ(\frac{p}{p-q}) = \mathbf{n} + \mathbf{m}$, and similarly let $1 \leq \overline{q}' < \overline{p}'$ be the unique coprime integers such that $HJ(\frac{\overline{p}'}{\overline{p}'-\overline{q}'}) = \overline{\mathbf{n}}' + \overline{\mathbf{m}}'$. It follows by the Riemenschneider's point diagram method that $HJ(\frac{p}{q})$ is obtained from $HJ(\frac{\overline{p}'}{\overline{q}'})$ by inserting 2 at the end and increasing the first component by 1.

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Case B: Suppose that $d_{i_{k-2}} = d_{k-2}$. In this case, we observe that $d_{i_{k-2}} = d_{k-2}$ is geometrically in the *rightmost* position. Now, we peel away from $\Delta(\mathbf{n}')$ the "upper right" triangle whose only interior edge is d_{k-2} and denote the resulting triangulation of \mathcal{P}_k as $\Delta(\mathbf{\bar{n}'})$. Note that $\mathbf{\bar{n}'}$ has only one component that is equal to 1, which is in the interior of $\mathbf{\bar{n}'}$, by the first assumption in the lemma. It follows that the *k*-tuple \mathbf{n} is obtained from the (k-1)-tuple $\mathbf{\bar{n}'}$ by increasing the first component of $\mathbf{\bar{n}'}$ by 1 and inserting 2 at the end.

Let **m** denote the *k*-tuple having 1 in the position that **n** has a 1 and 0 elsewhere, and similarly let $\overline{\mathbf{m}}'$ denote the (k - 1)-tuple having 1 in the position that $\overline{\mathbf{n}}'$ has a 1 and 0 elsewhere. Let $1 \leq q < p$ be the unique coprime integers such that $HJ(\frac{p}{p-q}) = \mathbf{n} + \mathbf{m}$, and similarly let $1 \leq \overline{q}' < \overline{p}'$ be the unique coprime integers such that $HJ(\frac{\overline{p}'}{\overline{p}'-\overline{q}'}) = \overline{\mathbf{n}}' + \overline{\mathbf{m}}'$. It follows by the Riemenschneider's point diagram method that $HJ(\frac{p}{q})$ is obtained from the $HJ(\frac{\overline{p}'}{\overline{q}'})$ by inserting 2 at the beginning and increasing the last component by 1.

The proof will be completed by an easy inductive argument. For the initial step of the induction, consider the case k = 3. In this case, by flipping the unique distinguished diagonal of the quadrilateral, we obtain $\Delta(2, 1, 2)$ from the initial triangulation $\Delta(1, 2, 1)$. In this case, $\mathbf{n} = (2, 1, 2)$, $\mathbf{n} + \mathbf{m} = (2, 2, 2)$ and hence $HJ(\frac{p}{q}) = (4)$. It should be clear that **Case A** provides the inductive step corresponding to iteration (*a*), whereas **Case B** provides the inductive step corresponding to iteration (*b*).

Example 35. In Example 28, we obtained $\Delta(2, 2, 2, 4, 2, 1, 3, 2, 5)$ from the initial triangulation $\Delta(\mathbf{u}_9)$ by applying flips along the contiguous sequence d_5 , d_4 , d_6 , d_7 , d_3 , d_2 , d_1 of distinguished diagonals of the initial triangulation of the decagon. If we run the algorithm in Lemma 33, based on the sequence d_5 , d_4 , d_6 , d_7 , d_3 , d_2 , d_1 we get (4), (5, 2), (2, 5, 3), (2, 2, 5, 4), (3, 2, 5, 4, 2), (4, 2, 5, 4, 2, 2), (5, 2, 5, 4, 2, 2, 2). Therefore we conclude that, if $HJ(\frac{p}{p-q}) = (2, 2, 2, 4, 2, 2, 3, 2, 5)$, then $HJ(\frac{p}{q})$ must be equal to (5, 2, 5, 4, 2, 2, 2), which can indeed be verified by the Riemenschneider's point diagram method as illustrated in Figure 7.

Remark 36. In Lemma 33, we assumed that **n** is of maximal height, and minimum positive depth, i.e., $ht(\mathbf{n}) = k - 2$ and $dpt(\mathbf{n}) = 1$. In fact, we could formulate a similar result if **n** is of arbitrary positive height, say $1 \le s \le k - 2$ and minimum positive depth, as follows. The assumptions $dpt(\mathbf{n}) = 1$ and $ht(\mathbf{n}) = s$ implies that there is a unique contiguous path of length *s* from the root vertex $\Delta(\mathbf{u}_k)$ to $\Delta(\mathbf{n})$ in the graph \mathcal{G}_k , by Lemma 30. Since the path is contiguous, we can peel away the irrelevant triangles form each of the triangulations in this path, to get a new path of the same length in \mathcal{G}_{s+2} , which starts from $\Delta(\mathbf{u}_{s+2})$ and ends with a triangulation of



FIGURE 7. Riemenschneider's point diagram method.

maximal possible height and minimum positive depth. Then we apply Lemma 33 to this contiguous path in \mathcal{G}_{s+2} .

Example 37. Here we give an example to illustrate Remark 36. Consider the triangulation $\Delta((2, 2, 1, 4, 1))$ of the hexagon in the graph \mathcal{G}_5 depicted in Figure 3. The root vertex $\Delta(\mathbf{u}_5)$ is connected to the vertex $\Delta((2, 2, 1, 4, 1))$ by the unique contiguous path

$$\Delta(\mathbf{u}_5) \xrightarrow[flip]{d_2} \Delta((1,3,1,3,1)) \xrightarrow[flip]{d_1} \Delta((2,2,1,4,1))$$

of length 2, obtained by concatenating the edges e_1 and $e_{2,1}$. Now, by removing the "top right" triangle from each of the triangulations in this path, we obtain a new path

$$\Delta(\mathbf{u}_4) \xrightarrow[flip]{\overline{d}_2} \Delta((1,3,1,2)) \xrightarrow[flip]{\overline{d}_1} \Delta((2,2,1,3))$$

of maximal possible length in the graph \mathcal{G}_4 . Note that

$$dpt((2,2,1,4,1)) = dpt(((2,2,1,3)) = 1,$$

and hence Lemma 33 can be applied to this new path in \mathcal{G}_4 . So, if $HJ(\frac{p}{p-q}) = (2, 2, 2, 3)$, then $HJ(\frac{p}{q}) = (5, 2)$ which indeed belongs to \mathcal{O}^2 and (5, 2) is obtained from (4) by the iteration of type (*b*).

5. CONTIGUOUS SEQUENCES OF DIAGONAL FLIPS AND RATIONAL BLOWDOWNS

In this section, our goal is to prove Theorem 1, which essentially organizes the symplectic deformation equivalence classes of all minimal symplectic fillings of the contact lens space ($L(p, q), \xi_{can}$) as a graded, directed, rooted, connected graph,

where the root is the minimal resolution of the corresponding cyclic quotient singularity and each directed edge is a symplectic rational blowdown along a linear plumbing graph.

A rational blowdown is the surgery operation which replaces the neighborhood of a configuration of spheres in a smooth 4-manifold intersecting according to some connected plumbing graph, by a rational homology ball having the same oriented boundary. Each vertex in a plumbing graph represents a disk bundle over the sphere and is decorated by the Euler number of the bundle, which is called the *weight* of the vertex.

Proposition 38 (Wahl [21], Looijenga-Wahl [13]). A linear plumbing graph can be rationally blown down if and only if the weights of its vertices are exactly given by taking the negatives of the entries in the Hirzebruch-Jung continued fraction expansion of $s^2/(sh-1)$ for some pair of coprime integers (s, h) with $1 \le h < s$. More explicitly, the family of linear plumbing graphs that can be rationally blown down is obtained from the initial graph with one vertex whose weight is -4, and applying the following iterations: If the linear plumbing graph with weights $-a_1, \ldots, -a_r$ is in this family so are the linear plumbing graphs with weights

(I) $-2, -a_1, \ldots, -a_{r-1}, -(a_r+1)$ and (II) $-(a_1+1), -a_2, \ldots, -a_r, -2$.

In the context of 4-manifolds, the rational blowdowns along linear plumbing graphs, were first used by Fintushel and Stern [8] for the case h = 1, and by Park [16] for the general case. From the singularity theory point of view, each of these linear plumbing graphs is the dual minimal resolution graph of some cyclic quotient singularity of class T_0 (a.k.a. *Wahl singularity*), which is a subclass of singularity of class T (see [11]).

Moreover, Symington ([19, 20]) established that the rational blowdown surgery preserves a symplectic structure if the original spheres are symplectic surfaces in a symplectic 4-manifold.

Next we recall some definitions which will be used in the proof of Theorem 1 below. For any $\mathbf{n} = (n_1, \ldots, n_k) \in \mathbb{Z}_k$, let $N(\mathbf{n})$ denote the result of Dehn surgery on the framed link which consists of the chain of k unknots in S^3 with framings n_1, n_2, \ldots, n_k , respectively. It follows easily that the 3-manifold $N(\mathbf{n})$ is diffeomorphic to $S^1 \times S^2$. Let $\mathbf{m} = (m_1, \ldots, m_k) \in \mathbb{Z}^k$, and $\mathbf{L} = \bigcup_{i=1}^k L_i$ denote the framed link in $N(\mathbf{n})$, in the complement of the chain of k unknots, where each L_i consists of $|m_i|$ components as depicted in Figure 8, with the components having framings -1 if $m_i > 0$ and framings +1 if $m_i < 0$.



FIGURE 8. The relative handlebody description of the oriented smooth 4-manifold $W(\mathbf{n}, \mathbf{m})$.

Definition 39. For any $\mathbf{n} = (n_1, \ldots, n_k) \in \mathbb{Z}_k$, and $\mathbf{m} = (m_1, \ldots, m_k) \in \mathbb{Z}^k$, the oriented smooth 4-manifold $W(\mathbf{n}, \mathbf{m})$ is obtained by attaching 2-handles to $S^1 \times D^3$ along the framed link $\varphi(\mathbf{L}) \subset S^1 \times S^2$ for some diffeomorphism $\varphi : N(\mathbf{n}) \to S^1 \times S^2$.

Note that this description, which is independent of the choice of φ since any self-diffeomorphism of $S^1 \times S^2$ extends to $S^1 \times D^3$, is a *relative* handlebody decomposition of $W(\mathbf{n}, \mathbf{m})$.

Definition 40. Let $\boldsymbol{b} = (b_1, \ldots, b_k) = HJ(\frac{p}{p-q})$, where $\frac{p}{p-q} = [b_1, b_2, \ldots, b_k]$. For any $\boldsymbol{n} \in \mathcal{Z}_k$, we set $W_{p,q}(\boldsymbol{n}) = W(\boldsymbol{n}, \boldsymbol{b}-\boldsymbol{n})$.

According to Lisca's classification [12], any minimal symplectic filling of the contact 3-manifold $(L(p,q), \xi_{can})$ is orientation-preserving diffeomorphic to $W_{p,q}(\mathbf{n})$ for some $\mathbf{n} \in \mathcal{Z}_k(\frac{p}{p-q})$, and the symplectic structure on $W_{p,q}(\mathbf{n})$ is unique up to symplectic deformation equivalence [1].

Remark 41. Fix an integer $k \ge 3$ and let $\mathbf{n} \in \mathcal{Z}_k$ such that exactly one component of \mathbf{n} equals to 1, which is in the interior of \mathbf{n} . Let \mathbf{m} denote the k-tuple having 1 in the position that \mathbf{n} has a 1 and 0 elsewhere, and let $1 \le q < p$ be the unique coprime integers such that $HJ(\frac{p}{p-q}) = \mathbf{n} + \mathbf{m}$. We proved in [2] that the minimal symplectic filling $W_{p,q}(\mathbf{n})$ of $(L(p,q), \xi_{can})$ is a rational homology 4-ball and thus $W_{p,q}(\mathbf{n})$ can be obtained from the canonical symplectic filling $W_{p,q}(\mathbf{u}_k)$ by a single symplectic rational blowdown along a linear plumbing graph. Moreover, the weights of the linear plumbing graph are given by the negatives of the components of $HJ(\frac{p}{q})$.

We are now ready to give a proof of Theorem 1.

Proof of Theorem 1. Suppose that $p > q \ge 1$ are coprime integers and let $\frac{p}{p-q} = [b_1, b_2, \ldots, b_k]$ be the Hirzebruch-Jung continued fraction expansion, where $b_i \ge 2$ for $1 \le i \le k$.

We will construct a graded, directed, rooted, connected graph $\mathcal{G}_k^{p,q}$ satisfying items (1) to (4) in Theorem 1 using the graded, directed, rooted, connected graph \mathcal{G}_k . Note that the root of \mathcal{G}_k is the initial triangulation $\Delta_* = \Delta(\mathbf{u}_k)$, and $W_{p,q}(\mathbf{u}_k)$ is the minimal resolution, which is the canonical symplectic filling of $(L(p,q), \xi_{can})$. We take Δ_* as the root of $\mathcal{G}_k^{p,q}$. Each vertex of \mathcal{G}_k can be identified with an element of $T(\mathcal{P}_{k+1})$ and Stevens' bijection Φ_k identifies $T(\mathcal{P}_{k+1})$ with the set \mathcal{Z}_k . Moreover, the symplectic deformation classes of all minimal symplectic fillings of the contact 3manifold $(L(p,q),\xi_{can})$ is parametrized by the subset $\mathcal{Z}_k(\frac{p}{p-q})$ of \mathcal{Z}_k or, equivalently, by the subset $\mathcal{T}^{p,q}(\mathcal{P}_{k+1})$ of $\mathcal{T}(\mathcal{P}_{k+1})$. So, to obtain the vertices of $\mathcal{G}_k^{p,q}$, we just take the vertices of \mathcal{G}_k which belong to $\mathcal{T}^{p,q}(\mathcal{P}_{k+1})$ and "skip" the others. So far, our graph $\mathcal{G}_k^{p,q}$ satisfies items (1) and (2) in Theorem 1.

We now turn our attention to item (3). Each edge in the graph $\mathcal{G}_k^{p,q}$ is obtained by the concatenation of some edges in \mathcal{G}_k according to the following principle:

Suppose that $\Delta(\mathbf{n})$ and $\Delta(\mathbf{n}')$ are two vertices in the graph $\mathcal{G}_k^{p,q}$. A path of directed edges in \mathcal{G}_k from $\Delta(\mathbf{n})$ to $\Delta(\mathbf{n}')$ are concatenated into a single directed edge in $\mathcal{G}_k^{p,q}$ if and only if there is a unique path from $\Delta(\mathbf{n})$ to $\Delta(\mathbf{n}')$ in \mathcal{G}_k .

We check that with this convention, if there is a directed edge from $\Delta(\mathbf{n})$ to $\Delta(\mathbf{n}')$ in $\mathcal{G}_k^{p,q}$, then the minimal symplectic filling $W_{p,q}(\mathbf{n}')$ can be obtained from the minimal symplectic filling $W_{p,q}(\mathbf{n})$ by a single rational blowdown along a linear plumbing graph. So suppose that $\Delta(\mathbf{n})$ is connected to $\Delta(\mathbf{n}')$ by a unique path in \mathcal{G}_k . Let $\mathbf{n} = \mathbf{n}_0, \mathbf{n}_1, \dots, \mathbf{n}_r = \mathbf{n}'$ be the sequence of *k*-tuples corresponding to the vertices in this path, and let $d_{i_1}, d_{i_2}, \dots, d_{i_r}$ be the corresponding sequence of distinguished diagonals of \mathcal{P}_{k+1} that are flipped along the edges, as illustrated below:

$$\Delta(\mathbf{n}) = \Delta(\mathbf{n}_0) \xrightarrow[flip]{d_{i_1}} \Delta(\mathbf{n}_1) \xrightarrow[flip]{d_{i_2}} \Delta(\mathbf{n}_2) \to \cdots \to \Delta(\mathbf{n}_{r-1}) \xrightarrow[flip]{d_{i_r}} \Delta(\mathbf{n}_r) = \Delta(\mathbf{n}').$$

We claim that $d_{i_1}, d_{i_2}, \ldots, d_{i_r}$ is a *contiguous* sequence of distinguished diagonals in the triangulation $\Delta(\mathbf{n})$. To see this, note that each pair of successive distinguished diagonals $d_{i_j}, d_{i_{j+1}}$ that are flipped must be adjacent in the sense each such pair of diagonals must bound a triangle in $\Delta(\mathbf{n}_{j-1})$. Indeed if d_{i_j} and $d_{i_{j+1}}$ were not adjacent then we could find an alternate path from $\Delta(\mathbf{n})$ to $\Delta(\mathbf{n}')$ in \mathcal{G}_k by interchanging the order in which we flip d_{i_j} and $d_{i_{j+1}}$, contradicting the uniqueness of the path between $\Delta(\mathbf{n})$ to $\Delta(\mathbf{n}')$ in \mathcal{G}_k . Let $\mathcal{K}_r = \{d_{i_1}, d_{i_2}, \ldots, d_{i_r}\}$ and peel away all triangles from $\Delta(\mathbf{n})$ that do not have an edge in the set \mathcal{K}_r . This will transform the polygon \mathcal{P}_{k+1} into an (r+3)-gon \mathcal{P}_{r+3} .

Moreover, the triangulation $\Delta(\mathbf{n})$ of \mathcal{P}_{k+1} will become the initial triangulation $\Delta(\mathbf{u}_{r+2})$ of \mathcal{P}_{r+3} and the contiguous sequence $d_{i_1}, d_{i_2}, \ldots, d_{i_r}$ of distinguished diagonals in $\Delta(\mathbf{n})$ will become a contiguous sequence of distinguished diagonals of

 $\Delta(\mathbf{u}_{r+2})$. Let $\mathbf{u}_{r+2} = \overline{\mathbf{n}}_0, \overline{\mathbf{n}}_1, \dots, \overline{\mathbf{n}}_r$ be the sequence of (r + 2)-tuples corresponding to the sequence of triangulations of \mathcal{P}_{r+3} obtained from the triangulations $\Delta(\mathbf{n}_0), \Delta(\mathbf{n}_1), \dots, \Delta(\mathbf{n}_r)$ of \mathcal{P}_{k+1} by peeling away the same set of triangles as above. By Lemma 33, it follows that (r + 2)-tuple $\overline{\mathbf{n}}_r$ will have exactly one component that is equal to 1, which is in the interior of $\overline{\mathbf{n}}_r$. We illustrated this step of the proof in Example 42 below.

Let $\overline{\mathbf{m}}_r$ be the (r+2)-tuple having 1 in the position that $\overline{\mathbf{n}}_r$ has a 1 and 0 elsewhere, and let $\overline{\mathbf{b}}_r = \overline{\mathbf{m}}_r + \overline{\mathbf{n}}_r$. Let p' and q' be the coprime integers with $1 \leq q' < p'$ such that $\operatorname{HJ}(\frac{p'}{p'-q'}) = \overline{\mathbf{b}}_r$. According to Remark 41, the minimal symplectic filling $W(\overline{\mathbf{n}}_r, \overline{\mathbf{m}}_r) = W_{p',q'}(\overline{\mathbf{n}}_r)$ of $(L(p',q'), \xi_{can})$ is a rational homology ball and moreover, it is obtained from the canonical symplectic filling $W(\mathbf{u}_{r+2}, \overline{\mathbf{b}}_r - \mathbf{u}_{r+2}) = W_{p',q'}(\mathbf{u}_{r+2})$ of $(L(p',q'), \xi_{can})$ by a single rational blowdown along a linear plumbing graph. Moreover, the weights of the linear plumbing graph are given by the negatives of the components in $\operatorname{HJ}(\frac{p'}{q'})$.

Since the triangulation $\Delta(\mathbf{n}_i)$ of \mathcal{P}_{k+1} is obtained from the triangulation $\Delta(\overline{\mathbf{n}}_i)$ of \mathcal{P}_{r+3} by pasting on the collection of triangles we peeled away in the first place, it follows that the fibre of the planar Lefschetz fibration on $W(\overline{\mathbf{n}}_i, \overline{\mathbf{m}}_i)$, where $\overline{\mathbf{m}}_i = \overline{\mathbf{b}}_r - \overline{\mathbf{n}}_i$, is canonically embedded in the fibre of the planar Lefschetz fibration on $W_{p,q}(\mathbf{n}_i) = W(\mathbf{n}_i, \mathbf{b} - \mathbf{n}_i)$. Moreover, the monodromy of the planar Lefschetz fibration on $W(\overline{\mathbf{n}}_i, \overline{\mathbf{m}}_i)$ is contained as a subword in the monodromy of the planar Lefschetz filling $W_{p,q}(\mathbf{n}') = W_{p,q}(\mathbf{n}_r) = W(\mathbf{n}_r, \mathbf{b} - \mathbf{n}_r)$ can be obtained from the minimal symplectic filling $W_{p,q}(\mathbf{n}) = W_{p,q}(\mathbf{n}_0) = W(\mathbf{n}_0, \mathbf{b} - \mathbf{n}_0)$ by a single rational blowdown along a linear plumbing graph as claimed.

Finally, to prove the claim in item (4), rather than computing the second Betti number of the minimal symplectic filling $W_{p,q}(\mathbf{n})$ directly, we compute instead the Milnor number of the Milnor fibre, which corresponds to the same parameter \mathbf{n} . Denoting the Milnor fibre as $W_{p,q}(\mathbf{n})$, by a slight abuse of notation, we recall the simple formula for the Milnor number

$$\mu(W_{p,q}(\mathbf{n})) = r + 2(k-1) - |\mathbf{n}|$$

of $W_{p,q}(\mathbf{n})$, where r is the length of the Hirzebruch-Jung continued fraction expansion of $\frac{p}{q}$ and $|\mathbf{n}| = n_1 + \cdots + n_k$. For the formula of the Milnor number, we refer the reader to [14, Theorem 7.7] and references therein. Since we fix the pair (p, q) from the beginning of the proof, r and k are fixed and hence $\mu(W_{p,q}(\mathbf{n}))$ only depends on $|\mathbf{n}|$. But it is easy to see (as in the proof of Lemma 21) that $|\mathbf{n}|$ increases by one after applying a diagonal flip along a distinguished diagonal, and thus $\mu(W_{p,q}(\mathbf{n}))$ drops by one. Therefore, if the grading of each vertex of the graph $\mathcal{G}_k^{p,q}$ is defined as the second Betti number of the corresponding minimal symplectic filling, then each directed edge drops the grading by the number of diagonal flips used to obtain that edge as described above. We also note that $\mu(W_{p,q}(\mathbf{n})) = r - ht(\mathbf{n})$, where ht is the height function described in Definition 13. See Remark 45, for an alternative direct proof of item (4).

Example 42. We illustrate a crucial step in the proof of Theorem 1 by the following example. Consider the path

$$\Delta(\mathbf{n}) = \Delta((1, 2, 2, 4, 2, 1, 3, 3, 1)) \xrightarrow[flip]{d_7} \Delta(\mathbf{n}_1) = \Delta((1, 2, 2, 5, 2, 1, 3, 2, 2)) \xrightarrow[flip]{d_3} \Delta(\mathbf{n}_2) = \Delta((1, 2, 3, 4, 2, 1, 3, 2, 3)) \xrightarrow[flip]{d_2} \Delta(\mathbf{n}_3) = \Delta((1, 3, 2, 4, 2, 1, 3, 2, 4))$$

of triangulations of the decagon as depicted at the top row in Figure 9. In this example, the set \mathcal{K}_3 mentioned in the proof of Theorem 1, consists of the *contiguous* sequence d_7 , d_3 , d_2 of distinguished diagonals in the triangulation $\Delta(\mathbf{n})$. By peeling away all triangles from $\Delta(\mathbf{n})$ that do not have an edge in the set \mathcal{K}_3 , we obtain the initial triangulation $\Delta(\mathbf{u}_5)$ of the hexagon, as depicted at the beginning of the bottom row in Figure 9.



FIGURE 9. Top row: $\Delta(\mathbf{n})$, $\Delta(\mathbf{n}_1)$, $\Delta(\mathbf{n}_2)$, $\Delta(\mathbf{n}_3)$. Bottom row: $\Delta(\overline{\mathbf{n}})$, $\Delta(\overline{\mathbf{n}}_1)$, $\Delta(\overline{\mathbf{n}}_2)$, $\Delta(\overline{\mathbf{n}}_3)$.

Moreover, by peeling away the same triangles form each of the triangulations $\Delta(\mathbf{n})$, $\Delta(\mathbf{n}_1)$, $\Delta(\mathbf{n}_2)$, $\Delta(\mathbf{n}_3)$ of the decayon depicted at the top row, we obtain the

path of triangulations

$$\Delta(\overline{\mathbf{n}}) = \Delta(\mathbf{u}_5) \xrightarrow[flip]{\overline{d}_3} \Delta(\overline{\mathbf{n}}_1) = \Delta((1, 2, 3, 1, 2)) \xrightarrow[flip]{\overline{d}_2} \\ \Delta(\overline{\mathbf{n}}_2) = \Delta((1, 3, 2, 1, 3)) \xrightarrow[flip]{\overline{d}_1} \overline{\mathbf{n}}_3 = \Delta((2, 2, 2, 1, 4))$$

of the hexagon depicted in the bottom row in Figure 9. Here, we used overline for the distinguished diagonals of the hexagon to set them apart from the distinguished diagonals of the decagon. It should be clear that the contiguous sequence d_7 , d_3 , d_2 of distinguished diagonals of $\Delta(\mathbf{n})$ can be identified with the the contiguous sequence \overline{d}_3 , \overline{d}_2 , \overline{d}_1 of distinguished diagonals of $\Delta(\mathbf{n}) = \Delta(\mathbf{u}_5)$. Note that \mathbf{n}_3 has exactly one component that is equal to 1, which is in the interior of \mathbf{n}_3 . We emphasize that the interior 1 in \mathbf{n}_3 is obtained by the diagonal flip along the distinguished diagonal \overline{d}_3 of the hexagon, which is applied first in the sequence of diagonal flips in the bottom row of triangulations in Figure 9.

Proposition 43. Suppose that there is a directed edge from some vertex $\Delta(\mathbf{n})$ to another vertex $\Delta(\mathbf{n}')$ in $\mathcal{G}_k^{p,q}$ which is obtained by the unique contiguous path in \mathcal{G}_k corresponding to the sequence of diagonal flips along the distinguished diagonals d_{i_1}, \ldots, d_{i_r} . Then the linear plumbing graph for the rational blowdown represented by this edge is obtained by starting from the initial graph with one vertex whose weight is -4 and applying the iterations in Proposition 38 according to the following rule: If $i_j < i_{j+1}$, then apply iteration (I), otherwise apply iteration (II).

Proof. Using the same argument (and notation) as in the proof of Theorem 1, we see that the linear plumbing graph used for the rational blowdown that yields the minimal symplectic filling $W_{p,q}(\mathbf{n})$ from the minimal symplectic filling $W_{p,q}(\mathbf{n}')$ is the same as the linear plumbing graph used for the rational blowdown that yields the minimal symplectic filling $W_{p',q'}(\mathbf{\bar{n}}_r)$ from the canonical symplectic filling $W_{p',q'}(\mathbf{n}_r)$ from the canonical symplectic filling $W_{p',q'}(\mathbf{u}_{r+2})$. Note that the triangulation $\Delta(\mathbf{\bar{n}}_r)$ of \mathcal{P}_{r+3} is obtained from the initial triangulation $\Delta(\mathbf{u}_{r+2})$ by flipping all the distinguished diagonals in $\Delta(\mathbf{u}_{r+2})$ contiguously. As a consequence, the proof of Proposition 43 reduces to Lemma 33.

6. DIAGONAL FLIPS AND LANTERN SUBSTITUTIONS

Suppose p and q are coprime integers with $p > q \ge 1$ such that the Hirzebruch-Jung continued fraction expansion of $\frac{p}{p-q}$ is equal to $[b_1, \ldots, b_k]$, where $b_i \ge 2$ for all $1 \le i \le k$. In [2], we constructed a planar Lefschetz fibration on each minimal symplectic filling of the contact 3-manifold $(L(p,q), \xi_{can})$. In particular, there is a Lefschetz fibration on the minimal resolution, whose fibre is the disk D_k with kholes and whose monodromy is the composition of Dehn twists along an explicit set of *disjoint* curves in D_k . We would like to point out that the planar Lefschetz fibration constructed by Gay and Mark [9] on the minimal resolution using its dual plumbing graph agrees with ours.

Moreover, the planar Lefschetz fibration above naturally induces a *planar* open book $\mathcal{OB}_{p,q}$ on L(p,q) which supports ξ_{can} . It follows by a general result of Wendl [22], that each minimal symplectic filling of $(L(p,q),\xi_{can})$ has a planar Lefschetz fibration whose monodromy is a positive factorization of the monodromy of $\mathcal{OB}_{p,q}$, although we have not relied on his result in [2].

Furthermore, in [2, Theorem 4.1], we showed that each minimal symplectic filling of the contact 3-manifold $(L(p,q),\xi_{can})$ can be obtained from the minimal resolution by a sequence of rational blowdowns along linear plumbing graphs. We observe here that the proof of Lemma 4.5 in [2], coupled with Lemma 21 of the present paper, implies in particular that if $\Delta(\tilde{\mathbf{n}}) \in \mathcal{T}(\mathcal{P}_{k+1})$ is obtained from $\Delta(\mathbf{n}) \in \mathcal{T}(\mathcal{P}_{k+1})$ by a diagonal flip, then the monodromy of the possibly *achiral* planar Lefschetz fibration on $W_{p,q}(\mathbf{n})$ can be obtained from the monodromy of the possibly *achiral* planar Lefschetz fibration on $W_{p,q}(\tilde{\mathbf{n}})$ by single lantern substitution together with, possibly, the introduction or removal of some cancelling pairs of Dehn twists.

The reader might be puzzled at this point at why we allow achiral Lefschetz fibrations in this discussion, but the point is that we can go from a positive factorization of some fixed monodromy to another positive factorization by a sequence of lantern substitutions which destroys positivity at the intermediate steps but restores it at the end.

As a matter of fact, the lantern substitution is completely determined by the diagonal flip, which we discuss below. The following definition is needed in our discussion.

Definition 44. Let D_k denote the disk with k-holes. Suppose that the holes in D_k are aligned horizontally and enumerated from left to right. For each $1 \le r \le k$, let γ_r denote the convex curve enclosing the first r holes and for any $2 \le s \le t \le k$, let $\delta_{s,t}$ denote the convex curve enclosing the holes labelled from s to t.

The diagonal flip along any distinguished diagonal in any given triangulation $\Delta(\mathbf{n})$ of \mathcal{P}_{k+1} transforms $\Delta(\mathbf{n})$ to another triangulation $\Delta(\mathbf{\tilde{n}})$ of \mathcal{P}_{k+1} , so that for exactly two indices, say i < j, we have $\tilde{n}_i - n_i = \tilde{n}_j - n_j = 1$ and for one index, say t, where i < t < j, we have $\tilde{n}_t - n_t = -1$. This is simply because each diagonal flip is an exchange of a distinguished diagonal with a non-distinguished diagonal of a quadrilateral, one of whose vertices is the distinguished vertex. The

corresponding lantern substitution in the monodromy factorization of the planar Lefschetz fibration on $W_{p,q}(\mathbf{n})$, in order to obtain the monodromy factorization of the planar Lefschetz fibration on $W_{p,q}(\tilde{\mathbf{n}})$, is the replacement of the product of four Dehn twists

$$D(\gamma_i) \circ D(\delta_{i+1,t}) \circ D(\delta_{t+1,j}) \circ D(\gamma_j)$$

with the product of three Dehn twists

$$D(\beta_{i,t,j}) \circ D(\gamma_t) \circ D(\delta_{i+1,j}),$$

up to cyclic permutations, where $\beta_{i,t,j}$ is depicted in Figure 10.



FIGURE 10. The curve $\beta_{i,t,j}$ in D_k .

Note that if both **n** and $\tilde{\mathbf{n}}$ belong to $\mathcal{Z}_k(\frac{p}{p-q})$, then $W_{p,q}(\mathbf{n})$ and $W_{p,q}(\tilde{\mathbf{n}})$ both represent minimal symplectic fillings and there is no need to insert any cancelling pair of Dehn twists to apply the lantern substitution. If $\mathbf{n} \in \mathcal{Z}_k(\frac{p}{p-q})$, but $\tilde{\mathbf{n}} \notin \mathcal{Z}_k(\frac{p}{p-q})$, then to apply the lantern substitution, one needs to insert a cancelling pair of Dehn twists along γ_i if $\tilde{n}_i > b_i$, and a cancelling pair of Dehn twists along γ_j if $\tilde{n}_j > b_j$. In certain cases, both conditions are satisfied and we need to insert two cancelling pairs of Dehn twists. It is also possible that neither \mathbf{n} nor $\tilde{\mathbf{n}}$ belongs to $\mathcal{Z}_k(\frac{p}{p-q})$, in which case, one again inserts a cancelling pair of Dehn twists along γ_i or γ_j , or both, with the same criterion as above.

The upshot of this discussion is that, once the coprime pair (p, q) is fixed, each vertex in the graph \mathcal{G}_k is a certain (not necessarily positive) factorization of the fixed monodromy of the planar open book $\mathcal{OB}_{p,q}$ on L(p,q) which supports ξ_{can} . It follows that, each vertex of \mathcal{G}_k can be identified with a "smooth filling" $W_{p,q}(\mathbf{n})$ of L(p,q), for the corresponding $\mathbf{n} \in \mathcal{Z}_k$. Moreover, $W_{p,q}(\mathbf{n})$ is a minimal symplectic filling of $(L(p,q), \xi_{can})$ if and only if the corresponding factorization is positive, or equivalently, if and only if $\mathbf{n} \in \mathcal{Z}_k(\frac{p}{p-q})$.

Remark 45. An alternative proof of item (4) in Theorem 1, which says that the Milnor number drops by one after each diagonal flip, in the spirit of the present paper,

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can be given as follows. By construction, each diagonal flip is a lantern substitution in the monodromy of the corresponding planar Lefschetz fibration. By the work of Endo and Nagami [7], the signature $\sigma(W_{p,q}(\mathbf{n})) = b_2^+(W_{p,q}(\mathbf{n})) - b_2^-(W_{p,q}(\mathbf{n}))$ increases by one when a lantern substitution is applied, and hence $\mu(W_{p,q}(\mathbf{n})) = b_2^+(W_{p,q}(\mathbf{n})) + b_2^-(W_{p,q}(\mathbf{n}))$ drops by one since $b_2^+(W_{p,q}(\mathbf{n}))$ remains fixed.

7. RATIONAL BLOWDOWN DEPTH OF A MINIMAL SYMPLECTIC FILLING

Our goal in this section is to prove Proposition 5 from the Introduction. Recall that a minimal symplectic filling of $(L(p,q), \xi_{can})$ is said to have *rational blowdown depth* r if the minimal number of successive symplectic rational blowdowns along linear plumbing graphs needed to obtain the filling from the minimal resolution is equal to r, where the depth of the minimal resolution is set to be zero. Moreover, for $k \ge 3$, the depth of $\mathbf{n} = (n_1, \ldots, n_k) \in \mathbb{Z}_k$, denoted by $dpt(\mathbf{n})$, is the number of 1's in the *interior* of \mathbf{n} , i.e., $dpt(\mathbf{n})$ is the cardinality of the set $\{i \mid 1 < i < k \text{ and } n_i = 1\}$.

Lemma 46. Suppose p and q are coprime integers with $p > q \ge 1$. Let n be a k-tuple in $\mathcal{Z}_k(\frac{p}{p-q})$ with $dpt(n) = l \ge 1$, so that n has l interior 1's enumerated from left to right. Pick any interior 1, say the vth interior 1 for some $1 \le v \le l$ and blow down $n = n^0$ at this 1, resulting in a (k-1)-tuple by n^1 . If $dpt(n^1) = l$, then blow down at the vth interior 1 again to obtain a (k-2)-tuple n^2 . Repeat in this way until the (k - t)-tuple n^t satisfies $dpt(n^t) = l - 1$. If

$$\Delta(\boldsymbol{u}_k) = \Delta(\boldsymbol{n}_0), \Delta(\boldsymbol{n}_1), \dots, \Delta(\boldsymbol{n}_t)$$

is the corresponding path in \mathcal{G}_k obtained as in Lemma 24, then \mathbf{n}_t belongs to $\mathcal{Z}_k(\frac{p}{p-q})$.

Proof. Suppose that **n** is a *k*-tuple in $\mathcal{Z}_k(\frac{p}{p-q})$ such that $dpt(\mathbf{n}) = l$, so that **n** has *l* interior 1's enumerated from left to right. Pick any interior 1, say the *v*th interior 1 for some $1 \leq v \leq l$. Blow down $\mathbf{n} = \mathbf{n}^0$ at this 1, and let τ_1 denote the corresponding triangle in $\Delta(\mathbf{n})$ and d_{i_1} the corresponding distinguished diagonal of \mathcal{P}_{k+1} as discussed in the proof of Lemma 24. Denote the resulting (k - 1)-tuple by \mathbf{n}^1 . Then \mathbf{n}^1 will have at most *l* interior 1's. If \mathbf{n}^1 again has *l* interior 1's, then blow down at the *v*th interior 1 again to obtain a (k - 2)-tuple \mathbf{n}^2 . Let τ_2 denote the corresponding triangle in $\Delta(\mathbf{n})$ and d_{i_2} the corresponding distinguished diagonal of \mathcal{P}_{k+1} . Repeat in this way until we obtain a (k - 1)-tuple \mathbf{n}^t with less than *l* interior 1's. Let $\tau_1, \tau_2, \ldots, \tau_t$ and $d_{i_1}, d_{i_2}, \ldots, d_{i_t}$ denote the associated sequences of triangles and diagonals, respectively. Then, as we blow down each time at sequentially the same interior 1, each pair of successive \widetilde{d}_{i_j} 's will be adjacent in the sense that they bound a triangle in $\Delta(\mathbf{n})$. Let \mathbf{n}_t be the *k*-tuple that corresponds to the triangulation obtained from the initial triangulation $\Delta(\mathbf{u}_k)$ by flipping the

distinguished diagonals $d_{i_1}, d_{i_2}, \ldots, d_{i_t}$ in order as in the proof of Lemma 24. Note that $d_{i_1}, d_{i_2}, \ldots, d_{i_t}$ is a contiguous sequence of distinguished diagonals in $\Delta(\mathbf{u}_k)$.

Let **b** be the *k*-tuple $(b_1, b_2, ..., b_k)$, where $\frac{p}{p-q} = [b_1, b_2, ..., b_k]$ is the Hirzebruch-Jung continued fraction expansion, with $b_i \ge 2$ for $1 \le i \le k$. Since, by assumption, $\mathbf{n} \in \mathcal{Z}_k(\frac{p}{p-q})$ we know that $\mathbf{n} \le \mathbf{b}$. In the following we check that $\mathbf{n}_t \le \mathbf{b}$, which implies that $\mathbf{n}_t \in \mathcal{Z}_k(\frac{p}{p-q})$ as well.

First note that the two triangulations $\Delta(\mathbf{n}_t)$ and $\Delta(\mathbf{n})$ both contain all of the triangles $\tau_1, \tau_2, \ldots, \tau_t$ and hence coincide on the part of the polygon \mathcal{P}_{k+1} separated from the distinguished vertex by the diagonal d_{i_t} . Since the part of the triangulation $\Delta(\mathbf{n}_t)$ remaining after cutting along d_{i_t} is precisely the initial triangulation $\Delta(\mathbf{u}_{k-t})$ of (k-t+1)-gon \mathcal{P}_{k-t+1} , it follow immediately that each component of \mathbf{n}_t , possibly with the exception of the two components corresponding to the boundary vertices of of the diagonal d_{i_t} , is less than or equal to the corresponding component of the k-tuple **b**, since each component of **n** is less than or equal to the corresponding component of **b** and each component of **b** is at least 2. To see that the two components of \mathbf{n}_t corresponding to the boundary vertices of d_{i_t} are also less than or equal to the corresponding components of **b**, we argue as follows: Let $\Delta(\mathbf{n}^t)$ denote the triangulation of the (k - t + 1)-gon \mathcal{P}_{k-t+1} corresponding to the (k - t)tuple \mathbf{n}^{ι} . This is a subtriangulation of $\Delta(\mathbf{n})$ given by cutting along the diagonal d_{i_t} . Note that if either of the two components of \mathbf{n}^t corresponding to the boundary vertices of d_{i_t} is an interior component, then it is greater than 1, since otherwise \mathbf{n}^{t} would still have l interior 1's, contrary to assumption. It follows that each of the components of \mathbf{n}_t corresponding to the boundary vertices of \widetilde{d}_{i_t} is less than or equal to the corresponding component of \mathbf{n}^t and hence less than or equal to the corresponding component of **b**.

Example 47. We illustrate the proof of Lemma 46 for $\mathbf{n} = (3, 1, 4, 3, 1, 2, 4, 1, 4) \in \mathbb{Z}_9$. It is clear that $dpt(\mathbf{n}) = 3$ by definition. By blowing down \mathbf{n} sequentially at the middle 1 three times, we obtain the sequence

We stopped at \mathbf{n}^3 , since $dpt(\mathbf{n}^3) = 2$. The corresponding path

$$\Delta(\mathbf{u}_9) = \Delta((1, 2, 2, 2, 2, 2, 2, 2, 1)), \Delta(\mathbf{n}_1) = \Delta((1, 2, 2, 3, 1, 3, 2, 2, 1)),$$

$$\Delta(\mathbf{n}_2) = \Delta((1, 2, 2, 4, 1, 2, 3, 2, 1)), \Delta(\mathbf{n}_3) = \Delta((1, 2, 3, 3, 1, 2, 4, 2, 1)),$$

in \mathcal{G}_9 can be obtained as discussed in the proof of Lemma 24. In Figure 11, we depicted the triangulations $\Delta(\mathbf{n}_3)$ (on the left) and $\Delta(\mathbf{n})$ (on the right) of the decagon.

We also highlighted the vertices of the diagonal \tilde{d}_3 in the triangulation $\Delta(\mathbf{n}_3)$, which play a crucial role in our proof of Lemma 46.



FIGURE 11. Triangulations $\Delta(\mathbf{n}_3)$ and $\Delta(\mathbf{n})$ of the decagon.

When we cut the triangulation $\Delta(\mathbf{n}_3)$ along the diagonal d_3 , or equivalently peel away the triangles τ_1, τ_2, τ_3 , the remaining subtriangulation (on the side of the distinguished vertex) is identical to the initial triangulation of \mathcal{P}_6 . It follows that if $\mathbf{n} \leq \mathbf{b}$ for some *k*-tuple **b**, with $b_i \geq 2$ for each $1 \leq i \leq k$, then $\mathbf{n}_3 \leq \mathbf{b}$ as well. Note that there is a unique pair (p,q) of coprime integers with $p > q \geq 1$, so that $\frac{p}{p-q} = [b_1, b_2, \dots, b_k]$. So, in other words, if **n** belongs to $\mathcal{Z}_k(\frac{p}{p-q})$, for some coprime pair (p,q), so does \mathbf{n}_3 . We would like to emphasize that $\mathbf{n} \leq \mathbf{b}$ does not necessarily imply that $\mathbf{n}_1 \leq \mathbf{b}$, because of the fact that sixth component of \mathbf{n}_1 is one higher than that of **n**, and it does not necessarily imply that $\mathbf{n}_2 \leq \mathbf{b}$, because of the fact that fourth component of \mathbf{n}_2 is one higher than that of **n**.

Proof of Proposition 5. Let $W_{p,q}(\mathbf{n})$ denote the minimal symplectic filling of $(L(p,q), \xi_{can})$ that corresponds to $\mathbf{n} = (n_1, \ldots, n_k) \in \mathcal{Z}_k(\frac{p}{p-q})$, and let $\Delta(\mathbf{n})$ be the corresponding vertex in the graph $\mathcal{G}_k^{p,q}$. We now show that the rational blowdown depth of $W_{p,q}(\mathbf{n})$ is bounded above by $dpt(\mathbf{n})$. The proof will be by induction on $r = dpt(\mathbf{n})$. First suppose that r = 0, then $W_{p,q}(\mathbf{n}) = W_{p,q}(\mathbf{u}_k)$ is the canonical symplectic filling.

Now suppose that for some $l \ge 1$ the result is true for $0 \le r < l$. We show that the result remains true for r = l. Suppose that $dpt(\mathbf{n}) = l$. Next, by setting $\mathbf{n}^0 = \mathbf{n}$, and proceeding exactly as in proof of Lemma 46, we obtain the path

$$\Delta(\mathbf{u}_k) = \Delta(\mathbf{n}_0), \Delta(\mathbf{n}_1), \dots, \Delta(\mathbf{n}_t)$$

of triangulations and the associated triangles $\tau_1, \tau_2, \ldots, \tau_t$ contained in both $\Delta(\mathbf{n}_t)$ and $\Delta(\mathbf{n})$, where $dpt(\mathbf{n}_t) = l - 1$. Furthermore, \mathbf{n}_t also belongs to $\mathcal{Z}_k(\frac{p}{p-q})$, and hence $W_{p,q}(\mathbf{n}_t)$ is also a minimal symplectic filling of $(L(p,q), \xi_{can})$. Since the corresponding sequence of distinguished diagonals $d_{i_1}, d_{i_2}, \ldots, d_{i_t}$ is contiguous, it follows from the arguments given in the proof of Theorem 1 that the monodromy of the planar Lefchetz fibration on $W_{p,q}(\mathbf{n}_t)$ is obtained from the monodromy of the planar Lefschetz fibration on $W_{p,q}(\mathbf{n}_t)$ by a *single* rational blowdown.

Now peel away the triangles $\tau_1, \tau_2, \ldots, \tau_t$ from $\Delta(\mathbf{n})$ and $\Delta(\mathbf{n}_t)$ to obtain triangulations $\Delta(\overline{\mathbf{n}})$ and $\Delta(\overline{\mathbf{n}}_t)$ of \mathcal{P}_{k-t+1} . Note that $\overline{\mathbf{n}}$ will have l-1 interior 1's and $\overline{\mathbf{n}}_t = \mathbf{u}_{k-t}$. By the induction hypothesis, it follows that the planar Lefschetz fibration on $W(\overline{\mathbf{n}}, \overline{\mathbf{m}})$ is obtained from the planar Lefschetz fibration on $W(\mathbf{u}_{k-t}, \overline{\mathbf{n}} + \overline{\mathbf{m}} - \mathbf{u}_{k-t})$, where $\overline{\mathbf{m}}$ is the (k - t)-tuple having 1 in each component that $\overline{\mathbf{n}}$ has an interior 1 and 0 elsewhere, by at most l - 1 rational blowdowns. It follows that $W_{p,q}(\mathbf{n})$ can be obtained from $W_{p,q}(\mathbf{n}_t)$ by at most l - 1 rational blowdowns and hence from the canonical symplectic filling $W_{p,q}(\mathbf{u}_k)$ by at most l rational blowdowns. \Box

Remark 48. Proposition 5 shows that, for each $\Delta(\mathbf{n}) \in \mathcal{G}_k^{p,q}$, there is a path of length $dpt(\mathbf{n})$ from $\Delta(\mathbf{u}_k)$ to $\Delta(\mathbf{n})$ in $\mathcal{G}_k^{p,q}$. On the other hand, Lemma 31 implies that the minimum length of a path from $\Delta(\mathbf{u}_k)$ to $\Delta(\mathbf{n})$ in $\mathcal{G}_k^{p,q}$, is at least $dpt(\mathbf{n})$. Therefore, $dpt(\mathbf{n})$ is equal to the "path-length" of $\Delta(\mathbf{n})$ in $\mathcal{G}_k^{p,q}$, which is defined to be the length of the shortest directed path in $\mathcal{G}_k^{p,q}$, starting from the root vertex $\Delta(\mathbf{u}_k)$ and ending at the vertex $\Delta(\mathbf{n})$.

Executive summary: Here we explicitly describe the rational blowdown algorithm. Suppose that $\mathbf{n} \in \mathcal{Z}_k(\frac{p}{p-q})$ for some coprime pair (p,q) with $1 \leq q < p$ such that $dpt(\mathbf{n}) = l$. We enumerate the interior 1's in the k-tuple \mathbf{n} from left to right and blowdown repeatedly the interior 1 of the same enumeration (for instance, leftmost) until we get to some $(k - t_1)$ -tuple \mathbf{n}^{t_1} with $dpt(\mathbf{n}^{t_1}) = l - 1$. We repeat the same process to obtain a sequence $\mathbf{n}, \mathbf{n}^{t_1}, \dots, \mathbf{n}^{t_l}$ so that $dpt(\mathbf{n}^{t_j}) = l - j$. Then there is a corresponding path $\Delta(\mathbf{u}_k), \Delta(\mathbf{n}_{t_1}), \dots, \Delta(\mathbf{n}_{t_l}) = \Delta(\mathbf{n})$ in $\mathcal{G}_k^{p,q}$ so that the minimal symplectic filling $W_{p,q}(\mathbf{n}_{t_{j+1}})$ can be obtained from the minimal symplectic filling $W_{p,q}(\mathbf{n}_{t_{j+1}})$ is obtained from the triangulation $\Delta(\mathbf{n}_{t_j})$ by diagonal flips along a *contiguous* sequence of distinguished diagonals in $\Delta(\mathbf{n}_{t_j})$. Therefore, the linear plumbing graph for this rational blowdown can be obtained using Proposition 43.

Example 49. Let $\mathbf{n} = (3, 1, 4, 3, 1, 2, 4, 1, 4) \in \mathbb{Z}_9$. Note that $dpt(\mathbf{n}) = 3$. Fix a coprime pair (p, q) with $1 \le q < p$ such that the length of the Hirzebruch-Jung continued fraction expansion of $\frac{p}{p-q}$ is 9 and $\mathbf{n} \in \mathbb{Z}_9(\frac{p}{p-q})$. By blowing down \mathbf{n} sequentially at the middle interior 1 three times, we obtain $\mathbf{n}^{t_1} = (3, 1, 3, 2, 1, 4)$, where $dpt(\mathbf{n}^{t_1}) = 2$. By blowing down \mathbf{n}^{t_1} sequentially at the rightmost interior

1 twice, we obtain $\mathbf{n}^{t_2} = (3, 1, 2, 2)$, where $dpt(\mathbf{n}^{t_2}) = 1$. By blowing down \mathbf{n}^{t_2} sequentially at the unique interior 1 twice, we obtain $\mathbf{n}^{t_3} = (1, 1)$, where $dpt(\mathbf{n}^{t_3}) = 0$. The corresponding path in $\mathcal{G}_9^{p,q}$ is given by $\Delta(\mathbf{u}_9), \Delta(\mathbf{n}_{t_1}) = \Delta((1, 2, 3, 3, 1, 2, 4, 2, 1)), \Delta(\mathbf{n}_{t_2}) = \Delta((1, 2, 4, 3, 1, 2, 4, 1, 3)), \Delta(\mathbf{n}) = \Delta(\mathbf{n}_{t_3}) = \Delta((3, 1, 4, 3, 1, 2, 4, 1, 4)).$

As a matter of fact $\Delta(\mathbf{n})$ is obtained from $\Delta(\mathbf{u}_9)$ by diagonal flips along the sequence d_4 , d_5 , d_3 , d_7 , d_6 , d_1 , d_2 of distinguished diagonals in $\Delta(\mathbf{u}_9)$, which indeed determines a path in \mathcal{G}_9 . Our algorithm partitions this sequence as follows: the sequence d_4 , d_5 , d_3 of distinguished diagonals is contiguous in $\Delta(\mathbf{u}_9)$, the sequence of distinguished diagonals d_7 , d_6 is contiguous in $\Delta(\mathbf{n}_{t_1})$, and the sequence d_1 , d_2 of distinguished diagonals is contiguous in $\Delta(\mathbf{n}_{t_2})$. We conclude that the minimal symplectic filling $W_{p,q}(\mathbf{n}_{t_1})$ can be obtained from the canonical symplectic filling $W_{p,q}(\mathbf{u}_9)$ by a rational blowdown along the linear plumbing graph with weights -3, -5, -2, the minimal symplectic filling $W_{p,q}(\mathbf{n}_{t_2})$ can be obtained from $W_{p,q}(\mathbf{n}_{t_1})$ by a rational blowdown along the linear plumbing graph with weights -5, -2, and finally $W_{p,q}(\mathbf{n})$ can be obtained from $W_{p,q}(\mathbf{n}_{t_2})$ by a rational blowdown along the linear plumbing graph with weights -5, -2,

8. EXAMPLES OF RATIONAL BLOWDOWN GRAPHS

In this section, to avoid cumbersome notation, once a pair (p, q) of coprime integers with $p > q \ge 1$ is fixed, for any *k*-tuple $\mathbf{n} = (n_1, \ldots, n_k) \in \mathcal{Z}_k$ we will speak about the 4-manifold \mathbf{n} referring to $W_{p,q}(\mathbf{n})$ as in Definition 40. If $\mathbf{n} \in \mathcal{Z}_k(\frac{p}{p-q})$, we will refer to \mathbf{n} as a minimal symplectic filling of $(L(p,q), \xi_{can})$. We will also speak about a rational blowdown $\mathbf{n} \to \mathbf{n}'$, for a pair of minimal symplectic fillings $\mathbf{n}, \mathbf{n}' \in \mathcal{Z}_k(\frac{p}{p-q})$. Moreover, by the triangulation \mathbf{n} , we will mean $\Delta(\mathbf{n})$ as in Definition 22.

In the following, for $2 \le s$, we denote the curve $\delta_{s,s}$ in Definition 44 by α_s . For the definition of the curves $\beta_{i,t,j}$ in the disk D_k with *k*-holes, we refer to Figure 10.

8.1. **Example A.** Let (p,q) = (24,7). Then $\frac{p}{p-q} = [2,2,4,2,2]$. The contact 3-manifold $(L(24,7),\xi_{can})$ has 4 distinct minimal symplectic fillings, up to diffeomorphism, which are parametrized by the set

$$\mathcal{Z}_5(\frac{24}{17}) = \{ (1, 2, 2, 2, 1), (2, 1, 3, 2, 1), (1, 2, 3, 1, 2), (2, 1, 4, 1, 2) \}.$$

The set of vertices of the graded, directed, rooted, connected graph $\mathcal{G}_5^{24,7}$ consists of the 4 triangulations of the hexagon which belongs to the set $\mathcal{T}^{24,7}(P_6) \subset \mathcal{T}(P_6)$. These triangulations, each of which represents a distinct minimal symplectic filling of $(L(24,7), \xi_{can})$, are encircled in red in Figure 12.

There are 4 edges of the graph $\mathcal{G}_5^{24,7}$, consisting of the red arcs labelled by 1, 2, 3 and 4 in Figure 12, corresponding to the edges e_1 , $e_{1,3}$, e_3 , and $e_{3,1}$, respectively,



FIGURE 12. The set of vertices of the graded, directed, rooted, connected graph $\mathcal{G}_5^{24,7}$ consists of the triangulations of the hexagon encircled in red, each of which represents a distinct minimal symplectic filling of $(L(24,7), \xi_{can})$, and the edges are the red arcs, each of which represents a rational blowdown.

in the graph \mathcal{G}_5 . We denote the rational blowdowns represented by these arcs as RBD₁, RBD₂, RBD₃, and RBD₄, respectively. By definition, each edge of $\mathcal{G}_5^{24,7}$ is given by the concatenation of some directed edges of \mathcal{G}_5 . Note that there is no edge in $\mathcal{G}_5^{24,7}$ from the root vertex (1, 2, 2, 2, 1) to the vertex (2, 1, 4, 1, 2), since there are two distinct paths, $e_1 \cdot e_{1,3}$ and $e_3 \cdot e_{3,1}$, from (1, 2, 2, 2, 1) to (2, 1, 4, 1, 2) in \mathcal{G}_5 , where \cdot denotes the concatenation of the edges.

In the following, using the algorithm described in Section 6, we will explicitly describe the lantern substitution corresponding to each of the rational blowdowns in Figure 12. First of all, we observe that the monodromy of the planar Lefschetz fibration on the minimal resolution (1, 2, 2, 2, 1) is the product

$$D(\alpha_2) \circ D(\alpha_3) \circ D(\alpha_4) \circ D(\alpha_5) \circ D(\gamma_1) \circ D^2(\gamma_3) \circ D(\gamma_5)$$

of Dehn twists along the curves given in Figure 13.



FIGURE 13. Initial monodromy curves in the disk D_5 with 5-holes.

The aforementioned product of Dehn twists is also the monodromy of the planar open book $OB_{24,7}$ that supports the contact 3-manifold $(L(24,7),\xi_{can})$. Note that *each* triangulation in Figure 12, represents a smooth 4-manifold with boundary L(24,7), together with a planar Lefschetz fibration whose monodromy is a different factorization, positive for the ones encircled in red, and achiral otherwise, of the initial monodromy of the planar open book $OB_{24,7}$.

The red arc labelled by 1 in Figure 12 represents RBD_1 , that corresponds to a lantern substitution in the monodromy of the planar Lefschetz fibration on the canonical symplectic filling (1, 2, 2, 2, 1), as follows. We encircled the components of the 5-tuple which increase and boxed the ones that decrease along the edge e_1 below, which is completely determined by the diagonal flip along d_1 :

$$(1, 2, 2, 2, 1) \xrightarrow{\text{RBD}_1} (2, 1, 3, 2, 1).$$

Thus, to realize RBD₁, we apply a lantern substitution along the 4-holed sphere in D_5 bounded by the curves $\gamma_1, \alpha_2, \alpha_3, \gamma_3$ (see Figure 13), where we replace

$$D(\gamma_1) \circ D(\alpha_2) \circ D(\alpha_3) \circ D(\gamma_3)$$

by

$$D(\delta_{2,3}) \circ D(\beta_{1,2,3}) \circ D(\gamma_2).$$

It follows that the monodromy of the planar Lefschetz fibration on the minimal symplectic filling (2, 1, 3, 2, 1) is given by

$$D(\delta_{2,3}) \circ D(\beta_{1,2,3}) \circ D(\gamma_2) \circ D(\gamma_3) \circ D(\alpha_4) \circ D(\alpha_5) \circ D(\gamma_5)$$

The red arc labelled by 2 in Figure 12, which represents RBD_2 , corresponds to a lantern substitution in the monodromy of the planar Lefschetz fibration on the

minimal symplectic filling (2, 1, 3, 2, 1), as follows. Based on the diagonal flip

$$(2,1,3,2,1) \xrightarrow{\text{RBD}_2} (2,1,4,1,2),$$

along d_3 , we apply a lantern substitution along the 4-holed sphere in D_5 bounded by the curves γ_3 , α_4 , α_5 , γ_5 (see Figure 13), where we replace

$$D(\gamma_3) \circ D(\alpha_4) \circ D(\alpha_5) \circ D(\gamma_5)$$

by

$$D(\delta_{4,5}) \circ D(\beta_{3,4,5}) \circ D(\gamma_4).$$

As a result the monodromy of the planar Lefschetz fibration on the minimal symplectic filling (2, 1, 4, 1, 2) is given by

(1)
$$D(\delta_{2,3}) \circ D(\beta_{1,2,3}) \circ D(\gamma_2) \circ D(\delta_{4,5}) \circ D(\beta_{3,4,5}) \circ D(\gamma_4) = D(\delta_{2,3}) \circ D(\delta_{4,5}) \circ D(\beta_{3,4,5}) \circ D(\beta_{1,2,3}) \circ D(\gamma_2) \circ D(\gamma_4).$$

The red arc labelled by 3 in Figure 12, which represents RBD_3 , corresponds to a lantern substitution in the monodromy of the planar Lefschetz fibration on the canonical symplectic filling (1, 2, 2, 2, 1), as follows. Based on the diagonal flip

$$(1, 2, 2, 2, 1) \xrightarrow{\text{RBD}_3} (1, 2, (3), [1], (2)),$$

along d_3 , we apply a lantern substitution along the 4-holed sphere in D_5 bounded by the curves γ_3 , α_4 , α_5 , γ_5 (see Figure 13), where we replace

$$D(\gamma_3) \circ D(\alpha_4) \circ D(\alpha_5) \circ D(\gamma_5)$$

by

$$D(\delta_{4,5}) \circ D(\beta_{3,4,5}) \circ D(\gamma_4).$$

It follows that the monodromy of the planar Lefschetz fibration on the minimal symplectic filling (1, 2, 3, 1, 2), is given by

$$D(\gamma_1) \circ D(\alpha_2) \circ D(\alpha_3) \circ D(\gamma_3) \circ D(\delta_{4,5}) \circ D(\beta_{3,4,5}) \circ D(\gamma_4)$$

The red arc labelled by 4 in Figure 12, which represents RBD_4 , corresponds to a lantern substitution in the monodromy of the planar Lefschetz fibration on the minimal symplectic filling (1, 2, 3, 1, 2), as follows. Based on the diagonal flip

$$(1, 2, 3, 1, 2) \xrightarrow{\text{RBD}_4} (2, 1, 4, 1, 2),$$

along d_1 , we apply a lantern substitution along the 4-holed sphere in D_5 bounded by the curves $\gamma_1, \alpha_2, \alpha_3, \gamma_3$ (see Figure 13), where we replace

$$D(\gamma_1) \circ D(\alpha_2) \circ D(\alpha_3) \circ D(\gamma_3)$$

by

$$D(\delta_{2,3}) \circ D(\beta_{1,2,3}) \circ D(\gamma_2).$$

As a result the monodromy of the planar Lefschetz fibration on the minimal symplectic filling (2, 1, 4, 1, 2) is given by

(2)
$$D(\delta_{2,3}) \circ D(\beta_{1,2,3}) \circ D(\gamma_2) \circ D(\delta_{4,5}) \circ D(\beta_{3,4,5}) \circ D(\gamma_4) = D(\delta_{2,3}) \circ D(\delta_{4,5}) \circ D(\beta_{3,4,5}) \circ D(\beta_{1,2,3}) \circ D(\gamma_2) \circ D(\gamma_4),$$

which indeed agrees with the factorization (1) above.

Note that the concatenation of RBD_1 and RBD_2 (and equivalently, the concatenation of RBD_3 and RBD_4) can be viewed as a monodromy substitution, where the initial factorization

$$D(\alpha_2) \circ D(\alpha_3) \circ D(\alpha_4) \circ D(\alpha_5) \circ D(\gamma_1) \circ D^2(\gamma_3) \circ D(\gamma_5)$$

is replaced with the product

$$D(\delta_{2,3}) \circ D(\delta_{4,5}) \circ D(\beta_{3,4,5}) \circ D(\beta_{1,2,3}) \circ D(\gamma_2) \circ D(\gamma_4).$$

However, this monodromy substitution does not correspond to a rational blowdown, since otherwise $(L(24,7), \xi_{can})$ would have a rational homology ball filling, which contradicts Proposition 38. As a matter of fact, the minimal symplectic filling (2, 1, 4, 1, 2) cannot be obtained from the canonical symplectic filling (1, 2, 2, 2, 1), by a single rational blowdown as we show in Proposition 50.

Proposition 50. The minimal symplectic filling (2, 1, 4, 1, 2) of $(L(24, 7), \xi_{can})$ cannot be obtained from the canonical symplectic filling (1, 2, 2, 2, 1), by a single rational blowdown. Consequently, the rational blowdown depth of the minimal symplectic filling (2, 1, 4, 1, 2) is equal to dpt((2, 1, 4, 1, 2)) = 2.

Proof. We first observe that the canonical symplectic filling $\mathbf{u}_5 = (1, 2, 2, 2, 1)$ of $(L(24, 7), \xi_{can})$ is diffeomorphic to the linear plumbing of disk bundles over a sphere with weights -4, -2, -4 because $\frac{24}{7} = [4, 2, 4]$. It follows that the lattice $H_2(\mathbf{u}_5, \mathbb{Z})$ is even, i.e. the square of any class in $H_2(\mathbf{u}_5, \mathbb{Z})$ is an even integer. Note that since (2, 1, 4, 1, 2) has height 2, the linear plumbing graph for a possible rational blowdown from \mathbf{u}_5 to (2, 1, 4, 1, 2) must have two vertices with weights -2 and -5. However, \mathbf{u}_5 cannot contain an embedded sphere of *odd* self-intersection, since $H_2(\mathbf{u}_5, \mathbb{Z})$ is even.

8.2. **Example B.** Let (p,q) = (81,47). Then $\frac{p}{p-q} = [3,2,3,3,3]$. The contact 3-manifold $(L(81,47),\xi_{can})$ has 6 distinct minimal symplectic fillings, up to diffeomorphism, which are parametrized by the set $\mathcal{Z}_5(\frac{81}{81-47}) =$

$$\{(1, 2, 2, 2, 1), (2, 1, 3, 2, 1), (1, 2, 3, 1, 2), (3, 1, 2, 3, 1), (3, 1, 3, 1, 3), (3, 2, 1, 3, 2)\}.$$

The set of vertices of the graded, directed, rooted, connected graph $\mathcal{G}_5^{81,47}$ consists of the 6 triangulations of the hexagon which belongs to the set $\mathcal{T}^{81,47}(P_6) \subset \mathcal{T}(P_6)$. These triangulations are encircled in red in Figure 14, and each one of them represents a distinct minimal symplectic filling of $(L(81,47),\xi_{can})$.



FIGURE 14. The set of vertices of the graded, directed, rooted, connected graph $\mathcal{G}_5^{81,47}$ consists of the triangulations of the hexagon encircled in red, each of which represents a distinct minimal symplectic filling of $(L(81, 47), \xi_{can})$, and the edges are the red arcs, each of which represents a rational blowdown.

There are 7 edges of the graph $\mathcal{G}_5^{81,47}$, consisting of the red arcs enumerated from 1 to 7 in Figure 14, each of which represents a rational blowdown. By definition, each edge of $\mathcal{G}_5^{81,47}$ is given by the concatenation of some directed edges of \mathcal{G}_5 as follows, $\text{RBD}_1 = e_1$, $\text{RBD}_2 = e_{1,2}$, $\text{RBD}_3 = e_{1,3} \bullet e_{1,3,2}$, $\text{RBD}_4 = e_2 \bullet e_{2,1} \bullet e_{2,1,3}$, $\text{RBD}_5 = e_3$, $\text{RBD}_6 = e_{3,1} \bullet e_{3,1,2}$, and $\text{RBD}_7 = e_1 \bullet e_{1,2}$. Note that the paths $e_1 \bullet e_{1,3} \bullet e_{1,3,2}$ and $e_3 \bullet e_{3,1} \bullet e_{3,1,2}$ in \mathcal{G}_5 are not edges in $\mathcal{G}_5^{81,47}$, since there are two distinct paths from (1, 2, 2, 2, 1) to (3, 1, 3, 1, 3) in \mathcal{G}_5 .

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In the following, we will explicitly describe the lantern substitutions needed for each of the rational blowdowns in Figure 14. First of all, we observe that the initial monodromy corresponding to the planar Lefschetz fibration on the minimal resolution (1, 2, 2, 2, 1) is the product

$$D(\alpha_2) \circ D(\alpha_3) \circ D(\alpha_4) \circ D(\alpha_5) \circ D^2(\gamma_1) \circ D(\gamma_3) \circ D(\gamma_4) \circ D^2(\gamma_5)$$

of Dehn twists along the curves given in Figure 15.



FIGURE 15. Initial monodromy curves in the disk D_5 with 5-holes.

The aforementioned product of Dehn twists is also the monodromy of the planar open book $\mathcal{OB}_{81,47}$ that supports the contact 3-manifold $(L(81,47),\xi_{can})$. Note that *each* triangulation in Figure 14, represents a smooth 4-manifold with boundary L(81,47), together with a planar Lefschetz fibration whose monodromy is a different factorization, positive for the ones encircled in red, and achiral otherwise, of the initial monodromy of the planar open book $\mathcal{OB}_{81,47}$.

The red arc labelled by 1 in Figure 14 (which is the edge e_1 in the graph \mathcal{G}_5), represents RBD₁, that corresponds to a lantern substitution in the monodromy of the planar Lefschetz fibration on the canonical symplectic filling (1, 2, 2, 2, 1), as follows. We encircled the entries of the 5-tuple which increase and boxed the ones that decrease along the edge e_1 below, which is completely determined by the diagonal flip along d_1 :

$$(1,2,2,2,1) \xrightarrow{e_1} (2,1,3,2,1).$$

Thus, to realize RBD₁, we apply a lantern substitution along the 4-holed sphere in D_5 bounded by the curves $\gamma_1, \alpha_2, \alpha_3, \gamma_3$ (see Figure 15). As a result of this lantern substitution, one of the Dehn twists that appear in the new factorization is along the convex curve $\delta_{2,3}$.

The red arc labelled by 2 in Figure 14 (which is the edge $e_{1,2}$ in the graph G_5), represents RBD₂, that corresponds to a lantern substitution in the monodromy of

the planar Lefschetz fibration on the minimal symplectic filling (2, 1, 3, 2, 1). The lantern substitution is determined by the diagonal flip along d_2 :

$$(2,1,3,2,1) \xrightarrow[\text{lantern}]{e_{1,2}} ((3),1,\underline{2},(3),1).$$

Thus, to realize RBD₂, we apply a lantern substitution along the 4-holed sphere bounded by the curves γ_1 , $\delta_{2,3}$, α_4 , γ_4 in D^5 . Note that the Dehn twist along $\delta_{2,3}$ appeared as a result of RBD₁, and it belongs to the positive factorization of the monodromy of the planar Lefschetz fibration on the minimal symplectic filling (2, 1, 3, 2, 1).

The red arc labelled by 7 in Figure 14 (which is the concatenation $e_1 \cdot e_{1,2}$ of the edges e_1 and $e_{1,2}$ in the graph \mathcal{G}_5), represents RBD₇. In other words, the minimal symplectic filling (3, 1, 2, 3, 1) can be obtained from the minimal resolution (1, 2, 2, 2, 1) by a *single* rational blowdown, that is a concatenation of RBD₁ and RBD₂. Here is an explanation of this phenomenon using explicit monodromy substitutions. We observe that in the concatenation of the two lantern substitutions we use Dehn twists along the curves $\gamma_1, \gamma_1, \gamma_3, \gamma_4, \alpha_2, \alpha_3, \alpha_4$, all of which belong to the set of initial monodromy curves in Figure 15, and Dehn twists along the curves γ_2, γ_3 (again belonging to the initial set of curves) emerge as a result of these substitutions. So, in the concatenation, we could just use Dehn twists along the curves $\gamma_1, \gamma_1, \gamma_4, \alpha_2, \alpha_3, \alpha_4$. This means that, there is a relation in the mapping class group of the 5-holed sphere in D_5 bounded by the curves $\gamma_1, \alpha_2, \alpha_3, \alpha_4, \gamma_4$, where the product

$$D^{2}(\gamma_{1}) \circ D(\alpha_{2}) \circ D(\alpha_{3}) \circ D(\alpha_{4}) \circ D(\gamma_{4})$$

is isotopic to the product of Dehn twists about 4 curves. One can easily verify that this relation is precisely the *daisy relation* (see [6]). Since the triangulation (3, 1, 2, 3, 1) is obtained from (1, 2, 2, 2, 1) by flips along the contiguous sequence d_1, d_2 of distinguished diagonals in (1, 2, 2, 2, 1), the linear plumbing graph for RBD₇ has weights -2, -5, by Proposition 43. We would like to point out that the rational blowdown RBD₇ is not immediately visible in the dual plumbing graph of the corresponding cyclic quotient singularity, which is the linear graph with weights -2, -4, -3, -3, -2.

Note that the minimal symplectic filling (3, 1, 3, 1, 3) of height 3 in Figure 14, can be obtained from the minimal resolution (1, 2, 2, 2, 1) via two distinct rational blowdown sequences: Apply RBD₁ first and then RBD₃ or apply RBD₅ first and then RBD₆. We already discussed the lantern substitution for RBD₁ and the monodromy substitution for RBD₃ can be seen as follows. First of all, RBD₃ is obtained by a concatenation of two lantern substitutions corresponding to the edges $e_{1,2}$ and

 $e_{1,3,2}$ in the graph \mathcal{G}_5 , respectively, as follows:

$$(2,1,3,2,1) \xrightarrow[\text{lantern}]{e_{1,3}} (2,1,4,1,2) \xrightarrow[\text{lantern}]{e_{1,3,2}} (3,1,3,1,3)$$

Starting from the monodromy factorization for (2, 1, 3, 2, 1), we need to insert a cancelling pair of Dehn twists along γ_3 , which is dictated by the fact that the triangulation (2, 1, 4, 1, 2) that we skip does not belong to $\mathcal{T}^{81,47}(P_6)$, exactly because its *third* entry (colored blue in Figure 14) is one higher than the third entry of (3, 2, 3, 3, 3). Then we apply a lantern substitution along the 4-holed sphere bounded by the curves γ_3 , α_4 , α_5 , γ_5 . It is clear that the resulting monodromy factorization has a negative Dehn twist along γ_3 , and we obtain an *achiral* planar Lefschetz fibration on (2, 1, 4, 1, 2) as expected, since the triangulation (2, 1, 4, 1, 2) is indeed included in the graph \mathcal{G}_5 but not in $\mathcal{G}_5^{81,47}$!

Nevertheless, as a result of the first lantern substitution corresponding to the edge $e_{1,3}$, a Dehn twist emerges in the new factorization along the convex curve $\delta_{4,5}$, which allows one to apply a lantern substitution along the 4-holed sphere bounded by the curves γ_1 , $\delta_{2,3}$, $\delta_{4,5}$, γ_5 . The resulting monodromy factorization of the planar Lefschetz fibration on the minimal symplectic filling (3, 1, 3, 1, 3) is positive again since a Dehn twist along γ_3 emerges in the new factorization to cancel out the negative one. Since the triangulation (3, 1, 3, 1, 3) is obtained from (2, 1, 3, 2, 1) by flips along the contiguous sequence d_3 , d_2 of distinguished diagonals in (2, 1, 3, 2, 1), the linear plumbing graph for RBD₃ has weights -5, -2, by Proposition 43.

We would like to show that RBD_3 is a daisy substitution for the 5-holed sphere and illustrate an important step in our proof of Theorem 1. Consider the path

 $\mathbf{n}_0 = (2, 1, 3, 2, 1), \ \mathbf{n}_1 = (2, 1, 4, 1, 2), \ \mathbf{n}_2 = (3, 1, 3, 1, 3)$

in \mathcal{G}_5 and let

$$\overline{\mathbf{n}}_0 = (1, 2, 2, 1), \ \overline{\mathbf{n}}_1 = (1, 3, 1, 2), \ \overline{\mathbf{n}}_2 = (2, 2, 1, 3)$$

be the corresponding path in \mathcal{G}_4 , obtained by blowing down the 1 in the second entry of each of the 5-tuples $\mathbf{n}_0, \mathbf{n}_1, \mathbf{n}_2$. Note that this corresponds, geometrically, to peeling off the same triangle from each one of the triangulations $\mathbf{n}_0, \mathbf{n}_1, \mathbf{n}_2$. Now in the concatenation of the two lantern substitutions in D_4 ! corresponding to

$$(1, 2, 2, 1) \xrightarrow[\text{lantern}]{} (1, (3), (1, 2)) \xrightarrow[\text{lantern}]{} ((2), (2, 1, (3)),$$

we use Dehn twists along the curves $\overline{\gamma}_1, \overline{\gamma}_2, \overline{\gamma}_4, \overline{\gamma}_4, \overline{\alpha}_2, \overline{\alpha}_3, \overline{\alpha}_4$ (overline is used to denote the curves in D_4 , to distinguish them from the curves in D_5) and Dehn twists along the curves $\overline{\gamma}_2, \overline{\gamma}_3$ emerge as a result of these substitutions. Overall, we substitute the product of Dehn twists along the curves $\overline{\gamma}_1, \overline{\gamma}_4, \overline{\gamma}_4, \overline{\alpha}_2, \overline{\alpha}_3, \overline{\alpha}_4$, by Dehn twists along four curves. One can easily verify that this is nothing but a daisy substitution. To see that, the path $\mathbf{n}_0, \mathbf{n}_1, \mathbf{n}_2$ is also a rational blowdown of

the same type, we just paste back the triangle we peeled away, which has the effect of embedding D_4 into D_5 as illustrated in Figure 16.



FIGURE 16. The embedding $\psi \colon D_4 \to D_5$.

More precisely, if $\psi: D_4 \to D_5$ denotes this embedding, then $\psi(\overline{\gamma}_1) = \gamma_1, \psi(\overline{\alpha}_2) = \delta_{2,3}, \psi(\overline{\alpha}_3) = \alpha_4, \psi(\overline{\alpha}_4) = \alpha_5$, and $\psi(\overline{\gamma}_4) = \gamma_5$. Therefore, the corresponding daisy substitution in D_5 replaces

 $D(\psi(\overline{\gamma}_1)) \circ D(\psi(\overline{\alpha}_2)) \circ D(\psi(\overline{\alpha}_3)) \circ D(\psi(\overline{\alpha}_4)) \circ D^2(\psi(\overline{\gamma}_4))$

by the product of four Dehn twists along curves in D_5 obtained by the embedding ψ .

Remark 51. The concatenation of the two rational blowdowns RBD₁ and RBD₃, is *not* a rational blowdown. To see this, we simply observe that in the concatenation of the three lanterns (one for RBD₁, and two for RBD₃), we use Dehn twists along the curves $\gamma_1, \gamma_1, \gamma_3, \gamma_3, \gamma_5, \gamma_5, \alpha_2, \alpha_3, \alpha_4, \alpha_5$ and Dehn twists along the curves $\gamma_2, \gamma_3, \gamma_4$ emerge as a result of these substitutions. After the cancellations, we see that the product of Dehn twists along the curves $\gamma_1, \gamma_1, \gamma_3, \gamma_5, \gamma_5, \alpha_2, \alpha_3, \alpha_4, \alpha_5$ is replaced by the product of Dehn twists along six curves in D_5 . We claim that this monodromy substitution *does not* correspond to a rational blowdown. Suppose, on the contrary, that it did correspond to a rational blowdown and consider the contact lens space $(L(r, s), \xi_{can})$, where $\frac{r}{s} = [2, 4, 4, 2]$. Since $\frac{r}{r-s} = [3, 2, 3, 2, 3]$, the monodromy of the canonical symplectic filling of $(L(r, s), \xi_{can})$ is a product of Dehn

twists precisely along the curves γ_1 , γ_1 , γ_3 , γ_5 , γ_5 , α_2 , α_3 , α_4 , α_5 . As we are assuming that the given monodromy substitution does correspond to a rational blowdown, it follows that the canonical symplectic filling of $(L(r, s), \xi_{can})$ can be rationally blown down. However, this contradicts Proposition 38 as -2, -4, -4, -2 cannot be obtained from -4 by iterations of type (I) and (II). Our claim follows.

The minimal symplectic filling (3, 2, 1, 3, 2) of height 3, can be obtained from (1, 2, 2, 2, 1) by a single rational blowdown RBD₄ which is the composition of three lantern substitutions,

$$(1,2,2,2,1) \xrightarrow[\text{lantern}]{e_2} (1,3,1) \xrightarrow[\text{lantern}]{e_{2,1}} (2,2,1,4,1) \xrightarrow[\text{lantern}]{e_{2,1,3}} (3,2,1,3,2)$$

each of which is represented by the corresponding blue edge in Figure 14. The lantern corresponding to the blue edge e_2 requires the insertion of a cancelling pair of Dehn twists along γ_2 , which is dictated by the fact that the triangulation (1,3,1,3,1) that we skip does not belong to $\mathcal{T}^{81,47}(P_6)$, exactly because its second entry (colored blue in Figure 14) is one higher than the second entry of (3, 2, 3, 3, 3). Then we apply a lantern substitution along the 4-holed sphere bounded by the curves $\gamma_2, \alpha_3, \alpha_4, \gamma_4$. As a result of this first lantern substitution, a Dehn twist emerges in the new factorization along the convex curve $\delta_{3,4}$. After inserting a cancelling pair of Dehn twists along γ_4 , which is dictated by the fact that the triangulation (2, 2, 1, 4, 1) that we skip does not belong to $\mathcal{T}^{81,47}(P_6)$, exactly because its *fourth* entry (colored blue in Figure 14) is one higher than the fourth entry of (3, 2, 3, 3, 3). This allows one to apply a lantern substitution, corresponding to the blue edge $e_{2,1}$ along the 4-holed sphere bounded by the curves $\gamma_1, \alpha_2, \delta_{3,4}, \gamma_4$. In the resulting monodromy factorization a Dehn twist along γ_2 emerges to cancel out the negative one we inserted in the previous step, but we still have a negative twist along γ_4 . The final lantern substitution, corresponding to the blue edge $e_{2,1,3}$, is applied along the 4-holed sphere bounded by the curves $\gamma_1, \delta_{2,4}, \alpha_5, \gamma_5$. As a result of this final lantern substitution, a Dehn twist emerges in the new factorization along γ_4 , which cancels out the negative one we inserted in the previous step so that we have an explicit positive factorization for the minimal symplectic filling (3, 2, 1, 3, 2).

In terms of the monodromy, by the concatenation of the 3 lanterns, the product of Dehn twists along the curves $\gamma_1, \gamma_1, \gamma_4, \gamma_5, \alpha_2, \alpha_3, \alpha_4, \alpha_5$ is factorized into product of Dehn twists about 5 other curves in D_5 , which was explained in details in our paper [2]. Note that this is not a daisy substitution. Nevertheless, we observe that the rational blowdown RBD₄ is equivalent to replacing a neighborhood of the plumbing graph with weights -2, -5, -3 with a rational homology ball, by Proposition 43, since (3, 2, 1, 3, 2) is obtained from (1, 2, 2, 2, 1) by flips along the contiguous sequence d_2 , d_1 , d_3 of distinguished diagonals in (1, 2, 2, 2, 1). Nota that the rational blowdown RBD₄ is not visible at all in the dual plumbing graph.

Finally, we take a closer look at RBD_5 and RBD_6 . To realize RBD_5 , corresponding to the edge e_3 ,

$$(1, 2, 2, 2, 1) \xrightarrow{e_3} (1, 2, 3, 1, 2),$$

we apply the lantern substitution along the 4-holed sphere bounded by the curves γ_3 , α_4 , α_5 , γ_5 , to get the monodromy factorization for the minimal symplectic filling (1, 2, 3, 1, 2). Note that a Dehn twist along $\delta_{4,5}$ appear in the new factorization. To realize RBD₆, corresponding to the concatenation of the edge $e_{3,1}$, and $e_{3,1,2}$,

$$(1,2,3,1,2) \xrightarrow[lantern]{e_{3,1}} ((2), \boxed{1}, (4), 1, 2) \xrightarrow[lantern]{e_{3,1,2}} ((3), 1, \boxed{3}, 1, (3))$$

starting from the monodromy factorization for (1, 2, 3, 1, 2), we insert a cancelling pair of Dehn twists along γ_3 and apply a lantern substitution along the 4-holed sphere bounded by the curves $\gamma_1, \alpha_2, \alpha_3, \gamma_3$. It follows that the resulting *achiral* monodromy factorization corresponding to the triangulation (2, 1, 4, 1, 2) is the same as described in the previous paragraph, and we proceed exactly in the same manner to finish the description of RBD₆.

The concatenation of the two rational blowdowns RBD_5 and RBD_6 , is *not* a rational blowdown, which can be shown as in Remark 51. In fact, we have the following result.

Proposition 52. The minimal symplectic filling (3, 1, 3, 1, 3) of $(L(81, 47), \xi_{can})$ cannot be obtained from the canonical symplectic filling (1, 2, 2, 2, 1), by a single symplectic rational blowdown. Consequently, the rational blowdown depth of the minimal symplectic filling (3, 1, 3, 1, 3) is equal to dpt((3, 1, 3, 1, 3)) = 2.

Proof. Note that any rational blowdown from the canonical symplectic filling $\mathbf{u}_5 = (1, 2, 2, 2, 1)$ of $(L(81, 47), \xi_{can})$ to the minimal symplectic filling (3, 1, 3, 1, 3) must be of height 3, and hence requires a symplectic embedding of the linear plumbing graph with weights -6, -2, -2 or -2, -5, -3 into \mathbf{u}_5 . To rule out any possible embedding of the linear plumbing graph with weights -6, -2, -2 or -2, -5, -3 into \mathbf{u}_5 . To rule out any possible show that the linear plumbing with weights -2, -2 cannot be embedded into \mathbf{u}_5 . On way to see the latter is as follows. We represent, as described in [10, Section 2], the classes in $H_2(\mathbf{u}_5, \mathbb{Z})$ as nullhomologous linear combinations of the vanishing cycles of the planar Lefschetz fibration on \mathbf{u}_5 . Then we use the fact that any class whose square is -2 is a nullhomologous linear combination of only two vanishing cycles with ± 1 coefficients to derive a contradiction.

Next, we discuss all possible symplectic embeddings of the linear plumbing graph with weights -2, -5, -3 into \mathbf{u}_5 . Such an embedding is indeed possible

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because we just showed above that the minimal symplectic filling (3, 2, 1, 3, 2) is obtained from \mathbf{u}_5 by the (symplectic) rational blowdown RBD₄, which is applied along the linear plumbing graph with weights -2, -5, -3. We claim that there are only two possible symplectic embeddings of the linear plumbing graph with weights -2, -5, -3. We claim that there is only two possible symplectic rational blowdowns of the minimal symplectic fillings obtained by symplectic rational blowdowns along these embeddings are isomorphic. But since the intersection forms of the fillings (3, 2, 1, 3, 2) and (3, 1, 3, 1, 3), given respectively by

$$\left[\begin{array}{rrr} -2 & 1 \\ 1 & -5 \end{array}\right] \quad \text{and} \quad \left[\begin{array}{rrr} -2 & 1 \\ 1 & -41 \end{array}\right]$$

are not isomorphic, we conclude that (3, 1, 3, 1, 3) cannot be obtained by a symplectic rational blowdown from **u**₅ along any linear plumbing graph with weights -2, -5, -3.

To finish the proof, we give an argument to prove our claim in the preceding paragraph as follows. We notice that the symplectic filling \mathbf{u}_5 is diffeomorphic to the linear plumbing with weights -2, -4, -3, -3, -2 (since $\frac{81}{47} = [2, 4, 3, 3, 2]$) and hence the lattice $H_2(\mathbf{u}_5, \mathbb{Z})$ is given by

$$\begin{bmatrix} -2 & 1 & 0 & 0 & 0 \\ 1 & -4 & 1 & 0 & 0 \\ 0 & 1 & -3 & 1 & 0 \\ 0 & 0 & 1 & -3 & 1 \\ 0 & 0 & 0 & 1 & -2 \end{bmatrix}$$

with respect to the natural basis represented by the symplectic spheres in the linear plumbing with weights -2, -4, -3, -3, -2, respectively. Let $[S_1], \ldots, [S_5]$ denote these classes in $H_2(\mathbf{u}_5, \mathbb{Z})$. By the adjunction equality we calculate that $c_1([S_1]) = c_1([S_5]) = 0$, $c_1([S_2]) = -2$, $c_1([S_3]) = c_1([S_4]) = -1$, where $c_1([S_i])$ is defined to be $\langle c_1(\mathbf{u}_5), [S_i] \rangle$. Now using the lattice $H_2(\mathbf{u}_5, \mathbb{Z})$, and the restrictions induced by c_1 , we conclude by a straightforward calculation, that the only embeddings of symplectic spheres of square -2, -5, -3 (as a linear chain in this order) are given by

$$[S_1], [S_2] + [S_3], [S_4]$$
 or $[S_1], [S_2] + [S_3], [S_4] + [S_5].$

Finally, by considering the basis $[S_1], [S_2], [S_3], [S_4] + [S_5], -[S_5]$ of $H_2(\mathbf{u}_5, \mathbb{Z})$, we see that these two embeddings are equivalent by a change of basis, and hence the intersection forms of the minimal symplectic fillings obtained by symplectic rational blowdowns along these embeddings are isomorphic.



FIGURE 17. The rational blowdown graph $\mathcal{G}_5^{81,47}$.

We depicted another version of the graph $\mathcal{G}_5^{81,47}$ in Figure 17, and decorated each edge with the weights of the linear plumbing graph of type T_0 that is used in the corresponding rational blowdown.

8.3. **Example C.** Let (p,q) = (37,10). Then $\frac{p}{p-q} = [2,2,3,2,4]$. The contact 3-manifold $(L(37,10), \xi_{can})$ has 4 distinct minimal symplectic fillings, up to diffeomorphism, which are parametrized by the set

$$\mathcal{Z}_5(\frac{37}{37-10}) = \{(1, 2, 2, 2, 1), (2, 1, 3, 2, 1), (1, 2, 3, 1, 2), (2, 2, 2, 1, 4)\}$$

The graded, directed, rooted, connected graph $\mathcal{G}_5^{37,10}$ can obtained from \mathcal{G}_5 as in **Example B**. Note that the minimal symplectic filling (2, 1, 3, 2, 1) can be obtained from (1, 2, 2, 2, 1) by a rational blowdown (RBD₁ of **Example B**) along the edge e_1 , while the minimal symplectic filling (1, 2, 3, 1, 2) can be obtained from (1, 2, 2, 2, 1) by a rational blowdown (RBD₅ of **Example B**) along the edge e_3 .

The minimal symplectic filling (2, 2, 2, 1, 4) can be obtained from (1, 2, 3, 1, 2) by a rational blowdown obtained as a concatenation of the lantern substitutions corresponding to the edges $e_{3,2}$ and $e_{3,2,1}$ in Figure 3. Note that we need to insert a cancelling pair of Dehn twists along γ_2 for the lantern substitution corresponding to the edge $e_{3,2}$, but the negative Dehn twists cancels out once we apply the lantern substitution corresponding to the edge $e_{3,2,1}$.



FIGURE 18. The rational blowdown graph $\mathcal{G}_5^{37,10}$.

Moreover, the minimal symplectic filling (2, 2, 2, 1, 4) can be obtained from the minimal resolution (1, 2, 2, 2, 1) by a *single* rational blowdown which is the concatenation of three lantern substitutions,

$$(1,2,2,2,1) \xrightarrow[\text{lantern}]{e_3} (1,2,3), 1, 2) \xrightarrow[\text{lantern}]{e_{3,2}} (1,3), 2, 1, 3) \xrightarrow[\text{lantern}]{e_{3,2,1}} (2,2,1,4).$$

We observe that in the concatenation of 3 lanterns we use Dehn twists along the curves $\gamma_1, \gamma_2, \gamma_3, \gamma_5, \gamma_5, \gamma_5, \alpha_2, \alpha_3, \alpha_4, \alpha_5$ and Dehn twists along the curves $\gamma_2, \gamma_3, \gamma_4$ emerge as a result of these substitutions. After the cancelations, we only use Dehn twists along the curves $\gamma_1, \gamma_5, \gamma_5, \gamma_5, \alpha_2, \alpha_3, \alpha_4, \alpha_5$. This means that, there is a relation in the mapping class group of the 6-holed sphere, where the product

$$D(\gamma_1) \circ D(\alpha_2) \circ D(\alpha_3) \circ D(\alpha_4) \circ D(\alpha_5) \circ D^3(\gamma_5)$$

is isotopic to the product of Dehn twists about 5 curves, which is precisely the *daisy relation* for the 6-holed sphere. Since the triangulation (2, 2, 2, 1, 4) is obtained from (1, 2, 2, 2, 1) by flips along the contiguous sequence d_3, d_2, d_1 of distinguished diagonals in (1, 2, 2, 2, 1), the linear plumbing graph for this rational blowdown has weights -6, -2, -2, by Proposition 43.

8.4. **Example D.** Let (p,q) = (45,26). Then $\frac{p}{p-q} = [3,2,3,2,3]$. The contact 3-manifold $(L(45,26),\xi_{can})$ has 4 distinct minimal symplectic fillings, up to diffeomorphism, which are parametrized by the set

$$\mathcal{Z}_5(\frac{37}{37-10}) = \{(1, 2, 2, 2, 1), (2, 1, 3, 2, 1), (1, 2, 3, 1, 2), (3, 1, 3, 1, 3)\}$$

The graph $\mathcal{G}_5^{45,26}$ is a subgraph of $\mathcal{G}_5^{81,47}$ which we depicted in Figure 17, and the discussion in **Example B**, applies verbatim here.

Remark 53. The interested reader may compare **Examples B, C, D** above, with the examples in [5, Section 4.1], where they use sequences of rational blowdowns and *symplectic antiflips* to obtain minimal symplectic fillings from the minimal resolution.

8.5. **Example E.** Let (p,q) = (140,41). Then $\frac{p}{p-q} = [2,2,4,2,4,2,2]$. The contact 3-manifold $(L(140,41),\xi_{can})$ has 8 distinct minimal symplectic fillings, up to diffeomorphism, which are parametrized by the set $\mathcal{Z}_7(\frac{140}{140-41}) =$

 $\{(1, 2, 2, 2, 2, 2, 1), (2, 1, 3, 2, 2, 2, 1), (1, 2, 3, 1, 3, 1, 2), (1, 2, 2, 2, 3, 1, 2)\}$

 $(2, 1, 4, 1, 3, 2, 1), (2, 1, 3, 2, 3, 1, 2), (1, 2, 3, 1, 4, 1, 2), (2, 1, 4, 1, 4, 1, 2)\}.$

The graph $\mathcal{G}_7^{140,41}$ is depicted in Figure 19.



FIGURE 19. The rational blowdown graph $\mathcal{G}_7^{140,41}$.

We claim that there are no symplectic rational blowdowns between these 8 symplectic fillings other than the ones represented by the edges in Figure 19. To prove our claim, we first show that there is no height 3 rational blowdown from the

canonical symplectic filling $\mathbf{u}_7 = (1, 2, 2, 2, 2, 2, 1)$. To see this, we first observe that the symplectic filling \mathbf{u}_7 is diffeomorphic to the linear plumbing with weights -4, -2, -4, -2, -4 (since $\frac{p}{q} = [4, 2, 4, 2, 4]$) and hence the lattice $H_2(\mathbf{u}_7, \mathbb{Z})$ is even. Thus, the linear plumbing with weights -2, -5, -3 cannot be embedded into \mathbf{u}_7 . Next we rule out any possible embedding of the linear plumbing with weights -6, -2, -2 into \mathbf{u}_7 by showing that the linear plumbing with weights -2, -2 cannot be embedded into \mathbf{u}_7 . On way to see the latter is as follows. We represent, as described in [10, Section 2], the classes in $H_2(\mathbf{u}_7, \mathbb{Z})$ as nullhomologous linear combinations of the vanishing cycles of the planar Lefschetz fibration on canonical symplectic filling \mathbf{u}_7 . Then we use the fact that any class whose square is -2 is nullhomologous linear combination of only two vanishing cycles with ± 1 coefficients to derive a contradiction.

It is easy to see that there are no height 2 rational blowdowns from the canonical symplectic filling \mathbf{u}_7 since $H_2(\mathbf{u}_7, \mathbb{Z})$ is even and hence the linear plumbing with weights -2, -5 cannot be embedded into \mathbf{u}_7 .

According to the classification of minimal symplectic fillings, there are only 3 distinct fillings, namely (2, 1, 3, 2, 2, 2, 1), (1, 2, 3, 1, 3, 1, 2), (1, 2, 2, 2, 3, 1, 2), which can be obtained from \mathbf{u}_7 by a single symplectic rational blowdown. In fact, these correspond to the 3 distinct -4 curves in \mathbf{u}_7 . We now show that none of these 3 fillings contains an embedded linear plumbing with weights -2, -5. The lattices for the symplectic fillings, (2, 1, 3, 2, 2, 2, 1), (1, 2, 3, 1, 3, 1, 2), (1, 2, 2, 2, 3, 1, 2) are given, respectively, by

ſ	-7	2	0	0		$\int -4$	1	0	0		-4	1	0	0]
	2	-4	1	0		1	-4	-4	-1		1	-2	1	0	
	0	1	-2	1	,	0	-4	-7	-2	,	0	1	-4	2	•
	0	0	1	-4		0	-1	-2	-4		0	0	2	-7	

One can check that there is no class of square -5 in the first and the third lattices, whereas there is no class of square -2 in the second lattice.

In particular, we obtain the following result.

Proposition 54. The rational blowdown depth of the minimal symplectic filling (2, 1, 4, 1, 4, 1, 2) of $(L(140, 41), \xi_{can})$ is equal to dpt((2, 1, 4, 1, 4, 1, 2)) = 3.

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